

1 **Sea Level Pressure Anomalies in the Western Pacific during El Niño:**
2 **Why are they there?**

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ABSTRACT

11 Although sea level pressure (SLP) anomalies in the Western Pacific have long
12 been recognized as an integral part of the classic Southern Oscillation pattern associated
13 with ENSO, there is an unresolved question regarding the dynamics that maintain these.
14 Traditional studies of the ENSO response in the tropics assume a single deep baroclinic
15 mode associated with the tropospheric temperature anomalies. However, the SLP
16 anomalies in the western Pacific are spatially well separated from the baroclinic signal in
17 the NCEP-NCAR reanalysis, CMIP5 models, and an intermediate complexity model [a
18 quasi-equilibrium tropical circulation model (QTCM)]. Separation of the SLP anomalies
19 into their baroclinic and barotropic components indicates that while the baroclinic
20 components are fundamental contributors to ENSO anomalies in the central and eastern
21 Pacific (coincident with the temperature anomalies), the barotropic components provide
22 the primary contributions in the western Pacific.

23 To demonstrate the roles of baroclinic and barotropic modes in ENSO
24 teleconnections within the tropics, a series of QTCM experiments is performed, where
25 anomalies in the interactions between baroclinic and barotropic modes are suppressed
26 over increasingly wider latitudinal bands in the tropical Pacific. If this suppression is
27 done in the 15°N-15°S band, the pressure signals in the western Pacific are only partly
28 removed, whereas if it is done in the 30°N-30°S band, the anomalies in the western
29 Pacific are almost entirely removed. This suggests the following pathway: interactions
30 with SST anomalies create the baroclinic response in the central and Eastern Pacific, but
31 baroclinic-barotropic interactions, arising substantially in the subtropical Pacific, generate
32 a barotropic response that yields the SLP anomalies in the western Pacific.

33 1. Introduction

34 El Niño/Southern Oscillation (ENSO) is associated with sea level pressure (SLP)
35 anomalies that have long been recognized to form an oscillation pattern with poles in the
36 western equatorial and southeastern Pacific (e.g., Walker 1923; Berlage 1957; Wallace et
37 al. 1998). ENSO is also associated with tropospheric temperature anomalies that spread
38 from the central and eastern Pacific in many ways that resemble basic equatorial wave
39 dynamics (Kiladis and Diaz 1989; Wallace et al. 1998; Chiang and Sobel 2002; Su and
40 Neelin 2002; Kumar and Hoerling 2003). Some major aspects of ENSO dynamics can be
41 understood through conceptual models based on a single deep baroclinic mode that is
42 separable from the barotropic mode in the absence of baroclinic advection and vertical
43 turbulent momentum transport (Matsuno 1966; Webster 1972; Gill 1980). Therefore,
44 highly damped shallow water models often give a plausible first approximation to the low
45 level wind response in the immediate vicinity of ENSO convective heating anomalies.
46 The SLP anomalies in the western tropical Pacific, however, are spatially well separated
47 from the baroclinic signal associated with the tropospheric temperature anomalies over
48 the central and eastern Pacific. The lack of associated temperature anomalies in the
49 western Pacific suggests that baroclinic wave propagation is not the main driver of the
50 SLP anomalies in the region. Therefore, the SLP response in this region must stem from
51 the excitation of a barotropic mode.

52 The barotropic teleconnections from the ENSO heating region into mid-latitudes
53 are well known (Horel and Wallace 1981; Hoskins and Karoly 1981; Simmons 1982;
54 Branstator 1983; Simmons et al. 1983; Held and Kang 1987). Lee et al. (2009) have
55 analyzed the baroclinic and barotropic responses to ENSO-like heating, as well as the
56 importance of vertical background wind shear in exciting the barotropic response in mid-
57 latitudes. In the present study we show that within the tropics, barotropic teleconnections
58 excited by the baroclinic-barotropic interactions are responsible for the ENSO
59 atmospheric response in SLP over the tropical western Pacific. Our hypothesis is the
60 following: as baroclinic Rossby waves propagate west from the central and eastern
61 equatorial Pacific, they excite barotropic wave trains through barotropic-baroclinic
62 interactions. These wave trains can then propagate west to generate the SLP anomalies in
63 the western Pacific, albeit the baroclinic mode propagation does not reach that region.
64 The barotropic mode can be forced by three barotropic-baroclinic interaction terms: 1)
65 shear advection (Wang and Xie 1996; Majda and Biello 2003; Biello and Majda 2004b),
66 2) surface drag (Neelin and Zeng 2000; Biello and Majda 2004a), and 3) vertical
67 advection (Bacmeister and Suarez 2002). Recently, Ji et al. (2014) provided a detailed
68 analysis of the effects these three terms have in interhemispheric teleconnections from
69 tropical heat sources.

70 To demonstrate the respective roles of baroclinic and barotropic modes in ENSO
71 teleconnections within the tropics, we first analyze the teleconnection patterns in the
72 NCEP reanalysis and in several simulations done with general circulation models (GCMs)
73 participating in phase 5 of the Coupled Model Intercomparison Project (CMIP5). Then to
74 analyze the dynamics that maintain the SLP anomalies in western Pacific associated with
75 ENSO, we perform a set of diagnostic experiments using a quasi-equilibrium tropical
76 circulation model (QTCM), where the impact of the baroclinic-barotropic interaction
77 terms on the SLP anomalies in the western Pacific can be artificially suppressed.

78 The remainder of the text is organized as follows. Section 2 gives a brief
 79 introduction of the datasets, model and methodology used in this study. Section 3
 80 presents the analysis of ENSO tropical teleconnections in NCEP reanalysis and CMIP5
 81 simulations. Section 4 presents the results of diagnostic experiments with the QTCM.
 82 Section 5 consists of a summary and discussion.

83

84 2. Datasets, model and methodology

85 2.1 Datasets

86 We use monthly diagnostic surface temperature from NOAA NCEP-NCAR
 87 CDAS-1 (Kalnay et al. 1996) to compute the Niño-3.4 SST index (Trenberth 1997).
 88 Meteorological variables including sea level pressure, air temperature, sea surface
 89 temperature, and precipitation are taken from NCEP-NCAR reanalysis (Kalnay et al.
 90 1996) and AMIP runs using prescribed SST anomalies for the period 1980-2001 of
 91 several models participating in CMIP5 (Taylor et al. 2012). For presentation, we only
 92 show results from five atmospheric general circulation models (AGCMs): GFDL HIRAM
 93 C360, CCSM4, CanAM4, GISS, and HadGEM2.

94

95 2.2 The QTCM

96 The QTCM belongs in a class of tropical atmospheric models of intermediate
 97 complexity that occupies a niche between GCMs and simple models. The model takes
 98 analytical solutions that hold approximately under quasi-equilibrium (QE) conditions and
 99 employs them as leading basis functions to represent the vertical structure of the flow.
 100 The primitive equations are then projected onto these simplified vertical structures, with
 101 self-consistent nonlinear terms retained in advection, moist convection, and vertical
 102 momentum transfer terms, among others. A more detailed model description can be
 103 found in Neelin and Zeng (2000). The present study uses QTCM1, version 2.3, which
 104 retains a single basis function for the vertical structure of temperature, and two basis
 105 functions for velocity, i.e., the baroclinic and barotropic modes. This and related QTCM
 106 versions have been used to analyze the moist dynamics of ENSO teleconnections in a
 107 number of contexts (Su et al. 2001; Su et al. 2003; Su et al. 2005; Neelin and Su 2005;
 108 Lintner and Chiang 2007).

109 The QTCM is a useful tool to analyze the contributions of baroclinic and
 110 barotropic modes to the ENSO tropical teleconnections. In the model, temperature
 111 anomalies directly force a baroclinic response, and barotropic motion is then excited
 112 through the interactions with baroclinic motion. The equation for the barotropic stream
 113 function ψ_0 is:

$$\begin{aligned}
 & \partial_t \nabla^2 \psi_0 + \text{curl}_z(\mathbf{v}_0 \cdot \nabla \mathbf{v}_0) - K_H \nabla^4 \psi_0 + \beta v_0 \\
 114 \quad & = -\text{curl}_z(\langle V_1^2 \rangle \mathbf{v}_1 \cdot \nabla \mathbf{v}_1) - \text{curl}_z(\langle V_1^2 \rangle (\nabla \cdot \mathbf{v}_1) \mathbf{v}_1) - \text{curl}_z(\boldsymbol{\varepsilon}_0 \mathbf{v}_0 + \boldsymbol{\varepsilon}_{10} \mathbf{v}_1)
 \end{aligned} \tag{1}$$

115 The terms on the right hand side of (1) act as an effective Rossby wave source, which
 116 acts to excite the barotropic mode in a manner akin to well-known studies of barotropic
 117 teleconnections (Hoskins and Karoly 1981; Held and Kang 1987; Sardeshmukh and
 118 Hoskins 1988). We remark, first, that this is not quite the same as the Rossby wave

119 source that would be defined by assuming an upper-level forcing applied to the
120 barotropic mode, but rather results from a representation of the modal breakdown over
121 the full depth of the troposphere (Neelin and Zeng 2000; Majda and Biello 2003). Under
122 certain circumstances, in particular if one could assume horizontally constant vertical
123 shear in the geostrophic approximation, an alternate vertical mode decomposition can be
124 constructed in which the barotropic mode properties are modified to create an external or
125 equivalent barotropic mode with some baroclinic component included (Held et al. 1985).
126 The interaction term approach here treats the same process in a manner that is easier to
127 use in a spatially varying basic state. Second, the third term on the right hand side is
128 shown in a form where it is proportional to surface stress, which can be simpler for
129 diagnosis and interpretation. However it might alternately be separated into a forcing
130 term $-curl_z(\varepsilon_{10}\mathbf{v}_1)$, with the $-curl_z(\varepsilon_0\mathbf{v}_0)$ portion on the left hand side. Interpreting the
131 respective terms in the effective Rossby wave source in (1), the sources of baroclinic-
132 barotropic interaction are: 1) $-curl_z(\langle V_1^2 \rangle \mathbf{v}_1 \cdot \nabla \mathbf{v}_1)$, representing interactions of vertical
133 shear in horizontal advection terms; 2) $-curl_z(\langle V_1^2 \rangle (\nabla \cdot \mathbf{v}_1) \mathbf{v}_1)$, representing vertical motion
134 advecting the baroclinic wind component; and 3) $-curl_z(\varepsilon_0 \mathbf{v}_0 + \varepsilon_{10} \mathbf{v}_1)$, representing
135 interactions via surface stress in the boundary layer. Ji et al. (2014) analyzed the effects
136 of each mechanism on forcing barotropic mode and associated teleconnection pathways
137 from a tropical heat source.

138

139 2.3 Methodology

140 In this subsection we describe the method applied to separate the baroclinic and
141 barotropic components of SLP in both reanalysis data and AGCM model outputs. The
142 hydrostatic equation in pressure coordinates is: $\partial_p \phi = -RT / p$. Taking a vertical integral

143 of the equation yields $\phi = \int_p^{p_r} RT d \ln p + \phi_r$, where p_r is a reference pressure and ϕ_r is
144 the geopotential on that pressure surface. The momentum equations combined with the
145 hydrostatic equation can be written as,

$$\begin{aligned}
& (\partial_t + \mathbf{v} \cdot \nabla + \omega \partial_p - K_H \nabla^2) \mathbf{v} + f \mathbf{k} \times \mathbf{v} + g \partial_p \tau \\
& = -\nabla \int_p^{p_r} RT d \ln p - \nabla \phi_r
\end{aligned} \tag{2}$$

147 where K_H is the horizontal diffusion coefficient, τ is vertical flux of horizontal
148 momentum, and g is gravitational acceleration.

149 The vertical average over the troposphere is defined as $\hat{X} = \langle X \rangle = p_T^{-1} \int_{p_{rt}}^{p_{rs}} X dp$,
150 where p_{rs} and p_{rt} are pressure at the near-surface and tropopause reference levels,
151 respectively, and $p_T = p_{rs} - p_{rt}$. We define the surface geopotential as $\phi_s = \phi_{s1} + \phi_{s0}$,
152 with $\phi_{s0} = \langle \phi \rangle$, and $\phi_{s1} = \phi_s - \phi_{s0} \approx \phi_{1000} - \langle \phi \rangle$. Assuming that density is constant

153 between the surface and the reference level, the baroclinic and barotropic components of
154 the surface pressure are then:

$$155 \quad p_s = \rho\phi_{s0} + \rho\phi_{s1} \quad (3)$$

156 We note that neglecting advection by baroclinic wind and surface drag on the baroclinic
157 mode, the baroclinic mode and barotropic mode will be separable. The solution of (2)
158 must simply match the vertical structures of the barotropic and baroclinic pressure
159 gradient terms.

160 The separation of SLP into baroclinic and barotropic components in the QTCM is
161 straightforward. Firstly, the baroclinic and barotropic components of surface geopotential
162 are calculated from integration of the baroclinic temperature and barotropic momentum
163 equation respectively. Secondly, the baroclinic and barotropic SLP components are
164 obtained by multiplying the corresponding components of surface geopotential by the
165 near surface air density.

166 We perform several diagnosis experiments with the QTCM to analyze the
167 pathway for the atmospheric response in the tropical western Pacific associated with
168 ENSO. In these experiments, the interannual variations in the baroclinic-barotropic
169 interaction terms are suppressed by replacing these terms with their monthly mean values
170 from a 100-year climatological model run. To gain insight on the geographical extent of
171 the region where the interactions act in the tropical teleconnections, interannual variations
172 are suppressed over increasingly wider latitudinal bands in the tropical Pacific.

173

174 3. Baroclinic and barotropic modes in ENSO tropical teleconnections

175 In this section, we examine the meteorological anomalies associated with ENSO.
176 These are defined by regression of each quantity onto the Niño-3.4 SST index. We start
177 with the monthly means for winter season (December, January and February) in the
178 NCEP-NCAR reanalysis. The results are shown in the panels of Fig. 1, which show good
179 agreement with previous observational results, notably, Wallace et al. (1998), which
180 helped inspire the investigation here.

181 Figure 1a SST shows positive anomalies in the central and eastern equatorial
182 Pacific. Figure 1b shows positive precipitation anomalies around the central equatorial
183 Pacific with negative anomalies around them and maximum values slightly to the west of
184 the largest SST anomalies. The SLP anomalies (Fig. 1c) are reminiscent of the classic
185 Southern Oscillation pattern: strong negative and positive anomalies in the eastern and
186 western Pacific, respectively. The anomalies in vertical mean temperature throughout the
187 troposphere (Fig. 1d) shows positive values over a broad region of the tropical central and
188 eastern Pacific. The structure of these temperature anomalies is consistent with a
189 baroclinic Rossby wave straddling the equator to the west and a Kelvin wave around the
190 equator to the east of the precipitation anomalies in Fig. 1b, which correspond to regions
191 of deep convective heating anomalies. The magnitude of the tropospheric temperature
192 anomalies drops off sharply from around the dateline towards the western Pacific. Thus,
193 the SLP anomalies in this region are well separated from the baroclinic signal associated
194 with the temperature anomalies.

195 Next, we break down the SLP anomalies in Fig. 1c into their baroclinic and
196 barotropic components. The baroclinic component (Fig. 2a) has strong magnitudes in the
197 eastern Pacific in the same region where tropospheric temperature anomalies are strong
198 (Fig. 1d), as expected from the hydrostatic relationship. The barotropic component (Fig.
199 2b), on the other hand, shows a broad band of anomalies across the entire tropics with a
200 clear local maximum in the western Pacific, where the values is comparable to those of
201 the total SLP anomalies in Fig. 1c.

202 To examine whether the anomalies in SLP and its baroclinic and barotropic
203 components, as well as in tropospheric temperatures strongly depend on the seasonal
204 cycle, we recompute the corresponding figures by using the monthly mean fields for the
205 20-year (1982-2001) period from the NCEP-NCAR reanalysis. The results are shown in
206 Fig. 3. Similar patterns are obtained, albeit with weaker magnitudes in the annual case.
207 This suggests that the spatial discrepancy between regions of larger SLP anomalies and
208 tropospheric temperature anomalies associated with ENSO in the tropical Pacific is
209 robust throughout the year, despite the seasonal asymmetries of the subtropical
210 circulation and associated baroclinic and barotropic interactions in the subtropics.

211 It is also useful to have an estimate of how the teleconnection pattern translates
212 into surface wind. Figures s1a and s2a show the regression of NCEP-NCAR reanalysis
213 surface zonal wind and vector surface wind onto Niño3.4, respectively. Figures s1b and
214 s2b show the reconstructed winds from the NCEP 1000mb geopotential field using
215 simple damping assumptions (Stevens et al. 2002). The reconstruction compares to the
216 actual surface winds sufficiently well over oceans to motivate reconstructing separately
217 the baroclinic and barotropic components from the respective geopotential contributions.
218 In Figs. s1c, d, the baroclinic zonal wind contribution near the equator in the tropical
219 Pacific is substantially larger than the barotropic contribution, so an approximation that
220 would include only the baroclinic mode would have qualitatively useful features.
221 However, the barotropic contribution is not negligible even in the deep tropics.
222 Furthermore, in the subtropics, the barotropic contribution considerably cancels the
223 baroclinic contribution to the surface wind, as one would expect when surface drag is
224 effective at reducing the near-surface wind.

225 In Fig. 4, we further decompose the baroclinic component of SLP into its free
226 troposphere (900hpa-150hpa) contribution (Fig. 4a) and boundary layer (1000hpa-900hpa)
227 contribution (Fig. 4b). The corresponding decomposition of the tropospheric temperature
228 anomalies, i.e., the vertical average over free troposphere and boundary layer are also
229 shown in Figs 4c and 4d. The tropospheric temperature anomalies in the free troposphere
230 and the boundary layer have similar magnitudes but very different patterns, with the latter
231 resembling the SST anomalies in Fig. 1a. On the contrary, the baroclinic contribution to
232 SLP anomalies in the free troposphere is much larger than in the boundary layer. Notice
233 that in either case, the contributions to SLP anomalies in the western Pacific are very
234 weak.

235 In the following, we examine the anomalies in SLP and tropospheric temperature
236 associated with ENSO in the AGCM simulations described in section 2 using the
237 monthly mean fields for the 20-year (1982-2001) period. Figure 5 shows the SLP
238 anomalies, the baroclinic and barotropic components of these anomalies, and the
239 tropospheric temperature anomalies associated with ENSO based on the GFDL HiRAM-

240 C360. The results obtained with the NCEP-NCAR reanalysis (Fig. 3) and the GFDL
241 HiRAM-C360 (Fig. 5) are very similar, both in patterns and magnitudes. In particular, the
242 positive SLP anomalies in the western Pacific are due to the barotropic contribution since
243 the baroclinic one has the opposite sign and is not statistically significant. We show in
244 Fig. 6 the SLP and the tropospheric temperature anomalies based on four other models
245 participating in the CMIP5, which are all consistent with the corresponding patterns in
246 the NCEP NCAR reanalysis (Fig. 3). The large SLP anomalies and weak tropospheric
247 temperature anomalies in the western Pacific are also present in the AGCMs of other
248 CMIP5 models (not shown). For a more quantitative assessment of the similarity between
249 the anomaly patterns in the models and the NCEP-NCAR reanalysis, we calculate the
250 spatial correlation between the corresponding anomalous patterns of SLP and
251 tropospheric temperature in model and reanalysis over the area ranging from 90°E to
252 60°W and 30°N to 30°S. The correlations are high for all 30 models (>0.90). The only
253 exception is the tropospheric temperature pattern in HADGEM2-A (Fig. 6h), which has a
254 correlation of slightly lower than 0.90 with the NCEP-NCAR data. In this model, the
255 temperature anomalies in the Indian Ocean and Africa region are weaker than those from
256 the NCEP-NCAR reanalysis and other CMIP5 models.

257

258 4. Diagnosis experiment with the QTCM

259 In this section, we use the QTCM to gain insight into the dynamical mechanisms
260 at work for the ENSO tropical teleconnection process. The question to be addressed is
261 how the barotropic teleconnection patterns are forced in the western Pacific by the
262 effective barotropic Rossby wave source due to the baroclinic-barotropic interactions?

263 Figure 7 shows the wintertime (December, January and February) meteorological
264 anomalies associated with ENSO based on a 20-year (1982-2001) QTCM run with
265 observed SSTs. A comparison between panels in Fig. 7 with those obtained using the
266 NCEP-NCAR reanalysis in Figs. 1 and 2, reveal similarities of pattern but weaker
267 amplitudes in the simulation. The corresponding annual regression analysis from the
268 QTCM simulations is shown in supplementary Fig. s3.

269 Figure 8 displays the December-February mean SLP differences between two
270 100-year QTCM simulations: one with monthly composites of ENSO SST anomalies and
271 the other with monthly-mean climatological SSTs. In Fig 8a, the SLP anomalies shows a
272 similar pattern to the one obtained by regressing SLP onto Niño-3.4 SST shown in Fig.
273 7a. Note that the regression plots in Fig. 7 show values per degree of SST anomalies
274 associated with ENSO, whereas the fields in Figs. 8 are associated with SST anomalies in
275 the order of 2-3 K. Thus, values in Fig. 7 are all 2-3 times smaller than those in Fig 8.
276 The breakdown of the SLP anomalies in Fig. 8a into barotropic and baroclinic
277 components is shown in Fig. s4, which can be compared with Figs. 7b and 7c,
278 respectively. As we can see, the positive SLP anomalies in the western Pacific, especially
279 the maximum around 180°, 20°N, are due to the barotropic contribution. Figures 8b, 8c,
280 and 8d portray the impact of suppressing the Rossby wave source in the region from
281 150°E to 100°W for successively wider latitudinal bands around the equator.
282 Comparisons among Figs. 8b, 8c, and 8d, reveal that the SLP anomalies in the western
283 Pacific are gradually weaker with wider bands. This weakening indicates that the

284 baroclinic-barotropic interactions in the Pacific subtropics are important in addition to
285 those in the tropics to the SLP anomalies in the western Pacific. For reference, Fig s5
286 presents the total Rossby wave source as well as its three components (shear advection,
287 surface drag and vertical advection) between the two 100-year simulations. The shear
288 advection in the subtropics (Fig. s5b) that occurs as the horizontal advection term, arising
289 substantially from the baroclinic anomalies interacting with basic state vertical shear,
290 seems to comprise a significant part of the subtropical effective Rossby wave source (Fig.
291 s5a). Although in the QTCM experiment, we suppress the surface stress term as a whole,
292 Fig. s5c shows only the baroclinic portion $-curl_z(\epsilon_{10}\mathbf{v}_1)$ as forcing component in the
293 surface drag, since the barotropic portion $-curl_z(\epsilon_0\mathbf{v}_0)$ acts as damping on the barotropic
294 mode. Figure 8e shows the SLP difference with suppressed Rossby wave source in a
295 tropical band from 25°N to 25°S. If Fig. 8e is compared to Fig. 8c, the subtropical edges
296 show some small-scale, wavelike features in Fig. 8e, but the western Pacific is not very
297 different between the two panels. Thus, the baroclinic-barotropic interactions in the
298 tropics outside the Pacific will affect baroclinic processes in the subtropics, but do not
299 have a significant effect on the western Pacific SLP anomaly pattern.

300

301 5. Conclusions

302 We have investigated the mechanisms that generate the SLP anomalies in the
303 western Pacific, which have long been known as part of the classic Southern Oscillation
304 pattern associated with ENSO. Contrary to traditional studies that assume a single deep
305 baroclinic mode for ENSO response in the tropics, the SLP anomalies in the western
306 Pacific are spatially well separated from the baroclinic signal associated with the
307 tropospheric temperature anomalies in NCEP-NCAR reanalysis, CMIP5 models, and
308 QTCM. Separation of the SLP into its baroclinic and barotropic components indicates
309 that the baroclinic mode SLP contributions extend over the central and eastern equatorial
310 Pacific, coincident with the temperature anomalies, and in a spatial pattern consistent
311 with first baroclinic mode wave dynamics. On the other hand, SLP anomalies in the
312 western Pacific arise primarily from barotropic mode contributions, and thus must be
313 associated with a slightly more complex dynamical pathway.

314 The following pathway is found in QTCM diagnostic experiments: interactions
315 with SST anomalies create the baroclinic mode signal in the central and Eastern Pacific,
316 but baroclinic-barotropic interactions, arising substantially in the subtropical Pacific,
317 create a barotropic response that yields the SLP anomaly pattern in the western Pacific. In
318 a set of QTCM experiments, we suppress anomalies in baroclinic-barotropic interaction
319 terms over increasingly wider latitudinal bands in the tropical Pacific, to diagnose their
320 effects on the SLP anomalies in the western Pacific associated with ENSO. In the 15°N-
321 15°S experiment, the pressure signals in the western Pacific are only partly suppressed,
322 whereas in the 30°N-30°S suppression experiment, the anomalies in the western Pacific
323 are almost entirely removed. We note that the suppression experiment does not
324 necessarily imply that the westward teleconnection is purely barotropic. However it does
325 demonstrate that anomalies of an effective barotropic Rossby wave source due to the
326 baroclinic-barotropic interaction terms are key to maintaining the largely barotropic
327 signal in the Western Pacific that yields the classical SLP patterns in this region.

328 Furthermore, it demonstrates the importance of the subtropical contribution to this
329 effective Rossby wave source, arising substantially from the vertical shear term that
330 occurs as baroclinic anomalies interact with basic state vertical shear approaching the
331 subtropical jet.

332

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334

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343 temperature patterns led to the puzzle analyzed here.

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416 **Figure captions:**

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418 Fig. 1 a) SST ($K \text{ } ^\circ C^{-1}$), b) precipitation ($mm \text{ day}^{-1} \text{ } ^\circ C^{-1}$), c) SLP ($Pa \text{ } ^\circ C^{-1}$), and d) tropospheric
419 temperature ($K \text{ } ^\circ C^{-1}$) from NCEP-NCAR reanalysis DJF regression onto Niño3.4, with a two-
420 tailed t test applied to the regression values and stippled at 99% confidence.

421 Fig. 2 a) SLP baroclinic component ($Pa \text{ } ^\circ C^{-1}$) and b) SLP barotropic component ($Pa \text{ } ^\circ C^{-1}$) from
422 NCEP-NCAR reanalysis DJF regression onto Niño3.4, with a two-tailed t test applied to the
423 regression values and stippled at 99% confidence.

424 Fig. 3 a) SLP ($Pa \text{ } ^\circ C^{-1}$), b) SLP baroclinic component ($Pa \text{ } ^\circ C^{-1}$), c) SLP barotropic component
425 ($Pa \text{ } ^\circ C^{-1}$), and d) tropospheric temperature ($K \text{ } ^\circ C^{-1}$) from NCEP-NCAR reanalysis *annual*
426 regression onto Niño3.4, with a two-tailed t test applied to the regression values and stippled at 99%
427 confidence.

428 Fig. 4 a) SLP baroclinic free troposphere component ($Pa \text{ } ^\circ C^{-1}$), b) SLP baroclinic boundary layer
429 component ($Pa \text{ } ^\circ C^{-1}$), c) tropospheric temperature average over free troposphere ($K \text{ } ^\circ C^{-1}$), and d)
430 tropospheric temperature average over boundary layer ($K \text{ } ^\circ C^{-1}$) from NCEP-NCAR reanalysis
431 *annual* regression onto Niño3.4, with a two-tailed t test applied to the regression values and
432 stippled at 99% confidence.

433 Fig. 5 a) SLP ($Pa \text{ } ^\circ C^{-1}$), b) SLP baroclinic component ($Pa \text{ } ^\circ C^{-1}$), c) SLP barotropic component
434 ($Pa \text{ } ^\circ C^{-1}$), and d) tropospheric temperature ($K \text{ } ^\circ C^{-1}$) from GFDL HIRAM C360 run with
435 prescribed SSTs *annual* regression onto Niño3.4, with a two-tailed t test applied to the regression
436 values and stippled at 99% confidence. Note in b), c), and d), land points for which temperature
437 does not extend to 1000 mbar are masked; SLP interpolation in a) is as provided by the modeling
438 center.

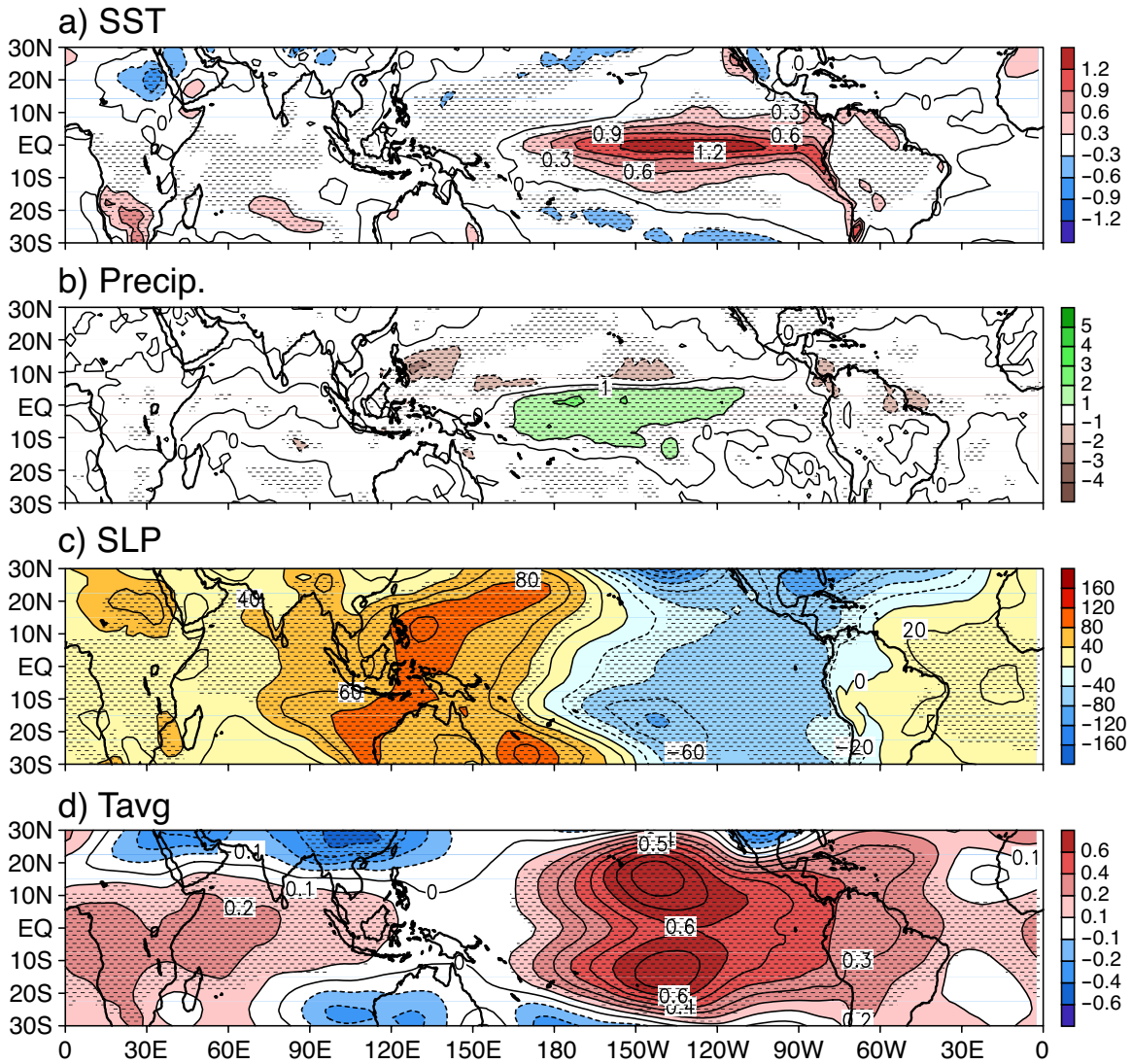
439 Fig. 6 a) c) e) and g) SLP ($Pa \text{ } ^\circ C^{-1}$), b) d) f) and h) tropospheric temperature ($K \text{ } ^\circ C^{-1}$) from
440 selected AGCM runs participating in CMIP5 *annual* regression onto Niño3.4, with a two-tailed t
441 test applied to the regression values and stippled at 99% confidence.

442 Fig. 7 a) SLP ($Pa \text{ } ^\circ C^{-1}$), b) SLP baroclinic component ($Pa \text{ } ^\circ C^{-1}$), c) SLP barotropic component
443 ($Pa \text{ } ^\circ C^{-1}$), d) tropospheric temperature ($K \text{ } ^\circ C^{-1}$), and e) precipitation ($mm\text{day}^{-1} \text{ } ^\circ C^{-1}$) from a 20yr
444 QTCM run with real-time SSTs DJF regression onto Niño3.4, with a two-tailed t test applied to
445 the regression values and stippled at 99% confidence.

446 Fig. 8 SLP anomalies (Pa) associated with ENSO from QTCM experiments with suppressed
447 barotropic Rossby wave source. a) Control run, vs. Rossby wave source suppressed over b)
448 $150^\circ E-100^\circ W$, $15^\circ N-15^\circ S$, c) $150^\circ E-100^\circ W$, $25^\circ N-25^\circ S$, d) $150^\circ E-100^\circ W$, $30^\circ N-30^\circ S$, and e) $0-$
449 360° , $25^\circ N-25^\circ S$, stippled where a t test yields grid points significant at or above the 99%
450 confidence level.

451

NCEP NINO3.4 Regression DJF 1982-2001



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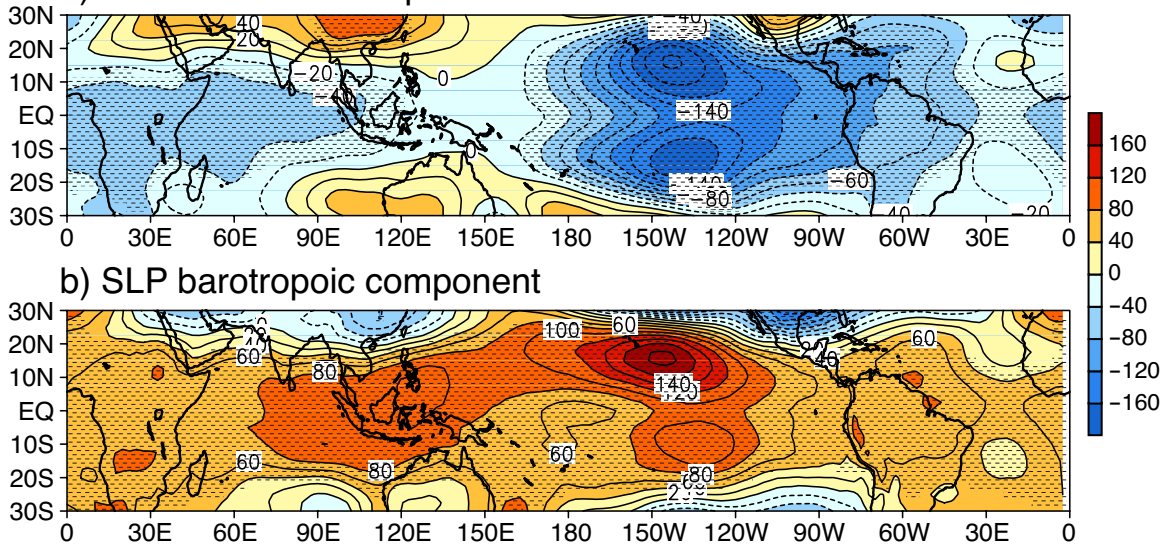
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454 Fig. 1 a) SST ($K \text{ } ^\circ\text{C}^{-1}$), b) precipitation ($\text{mm day}^{-1} \text{ } ^\circ\text{C}^{-1}$), c) SLP ($\text{Pa } ^\circ\text{C}^{-1}$), and d) tropospheric
 455 temperature ($K \text{ } ^\circ\text{C}^{-1}$) from NCEP-NCAR reanalysis DJF regression onto Niño3.4, with a two-
 456 tailed t test applied to the regression values and stippled at 99% confidence.

457

NCEP NINO3.4 Regression DJF 1982-2001

a) SLP baroclinic component



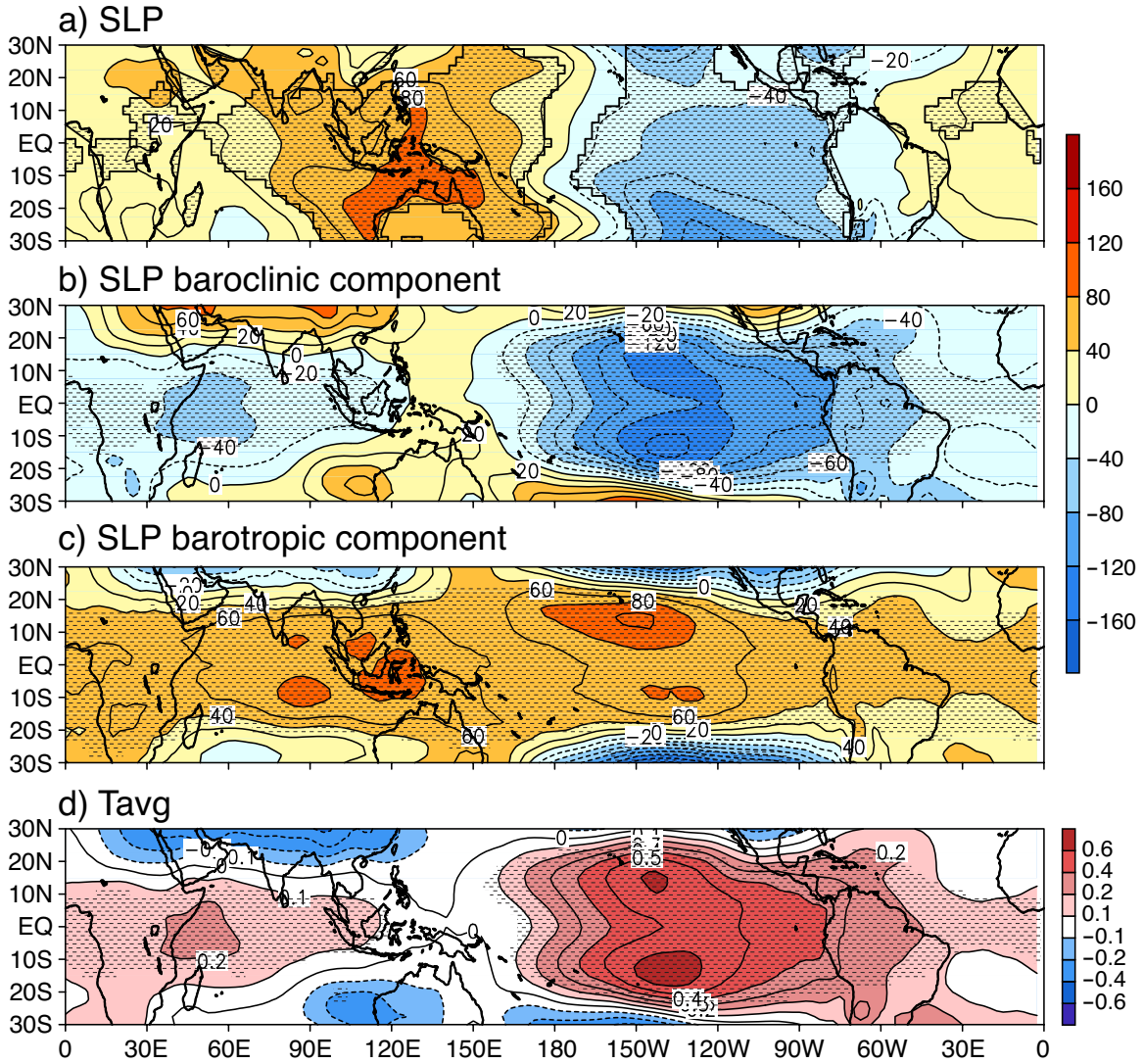
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460 Fig. 2 a) SLP baroclinic component ($\text{Pa } ^\circ\text{C}^{-1}$) and b) SLP barotropic component ($\text{Pa } ^\circ\text{C}^{-1}$) from
461 NCEP-NCAR reanalysis DJF regression onto Niño3.4, with a two-tailed t test applied to the
462 regression values and stippled at 99% confidence.

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NCEP NINO3.4 Regression ANN 1982-2001



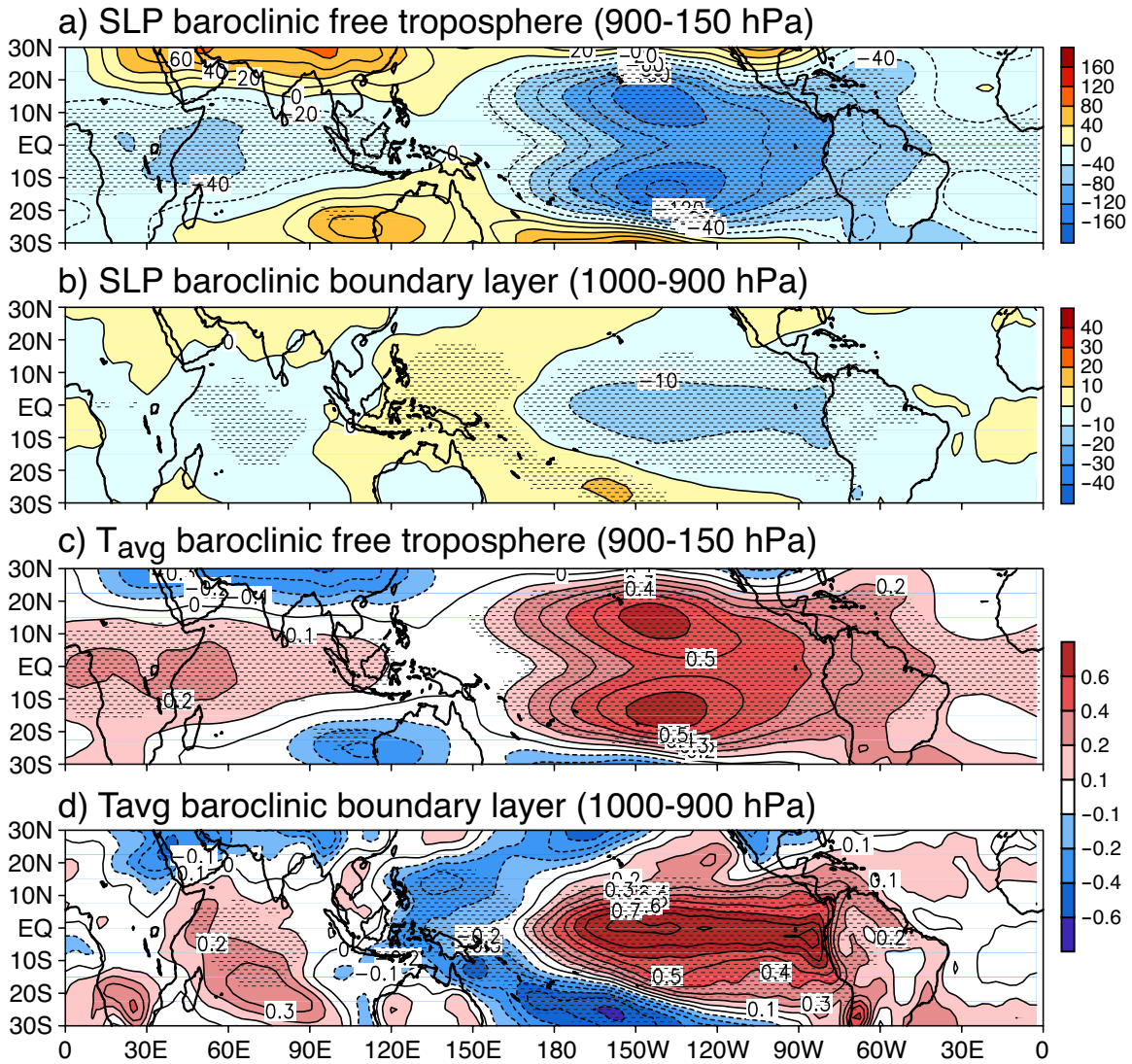
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466 Fig. 3 a) SLP ($\text{Pa } ^\circ\text{C}^{-1}$), b) SLP baroclinic component ($\text{Pa } ^\circ\text{C}^{-1}$), c) SLP barotropic component
 467 ($\text{Pa } ^\circ\text{C}^{-1}$), and d) tropospheric temperature ($\text{K } ^\circ\text{C}^{-1}$) from NCEP-NCAR reanalysis *annual*
 468 regression onto Niño3.4, with a two-tailed *t* test applied to the regression values and stippled at 99%
 469 confidence.

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NCEP NINO3.4 Regression ANN 1982-2001



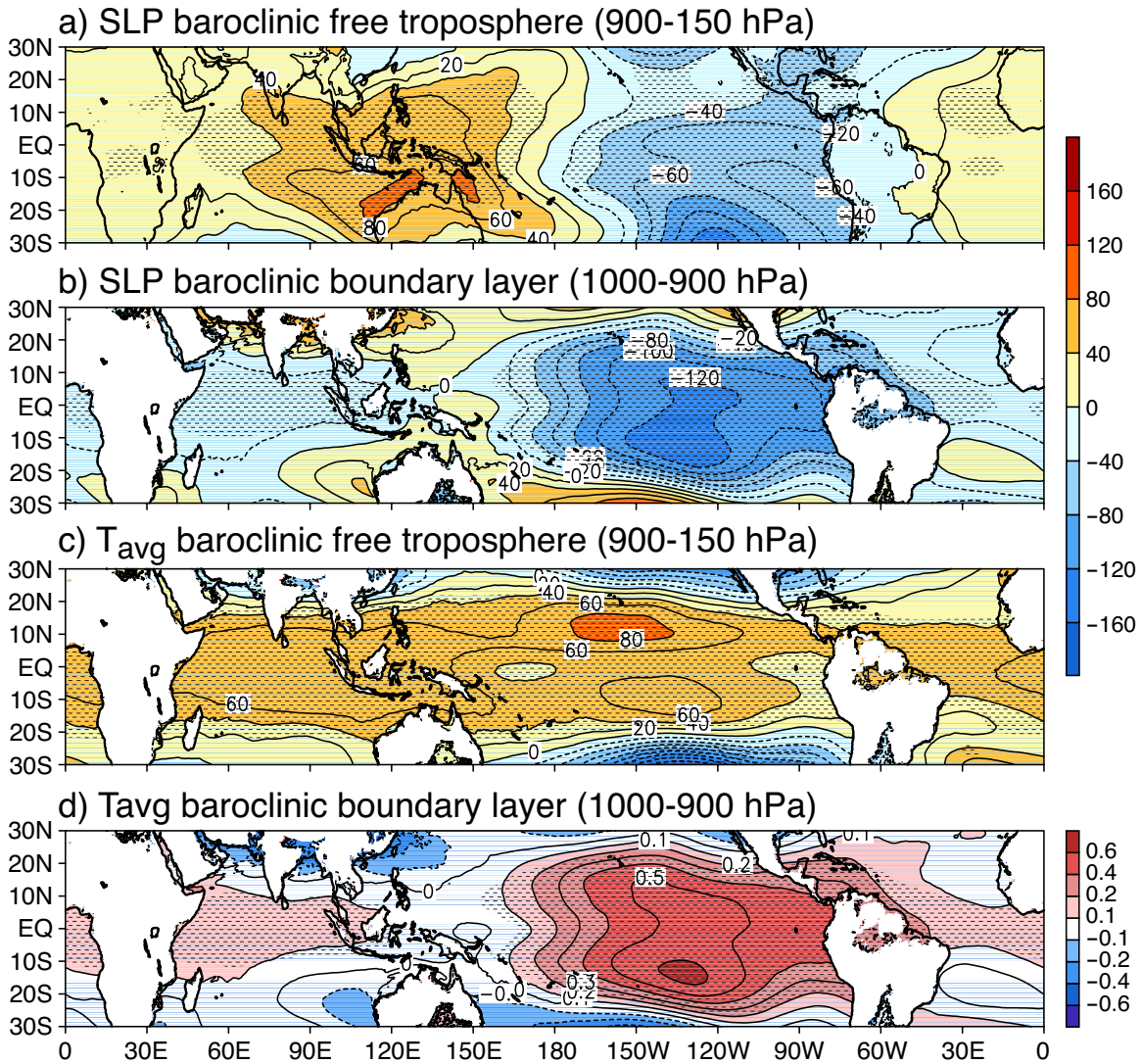
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473 Fig. 4 a) SLP baroclinic free troposphere component ($\text{Pa } ^\circ\text{C}^{-1}$), b) SLP baroclinic boundary layer
 474 component ($\text{Pa } ^\circ\text{C}^{-1}$), c) tropospheric temperature average over free troposphere ($\text{K } ^\circ\text{C}^{-1}$), and d)
 475 tropospheric temperature average over boundary layer ($\text{K } ^\circ\text{C}^{-1}$) from NCEP-NCAR reanalysis
 476 *annual* regression onto Niño3.4, with a two-tailed t test applied to the regression values and
 477 stippled at 99% confidence.

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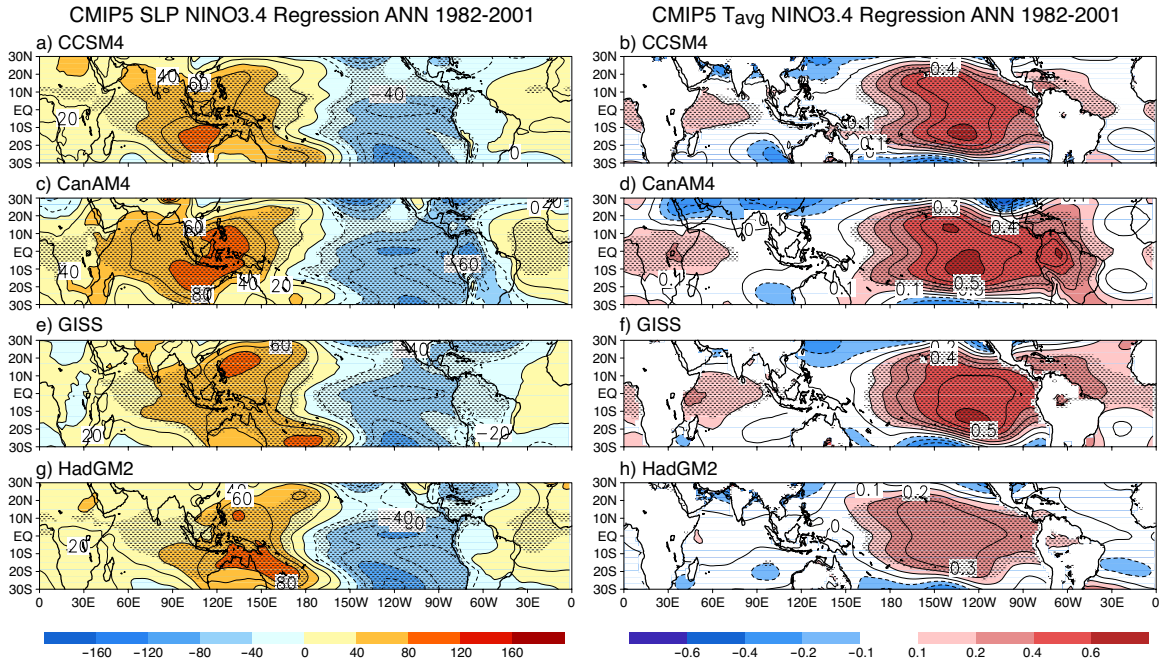
GFDL-HIRAM-C360 NINO3.4 Regression ANN 1982-2001



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481 Fig. 5 a) SLP (Pa °C⁻¹), b) SLP baroclinic component (Pa °C⁻¹), c) SLP barotropic component
 482 (Pa °C⁻¹), and d) tropospheric temperature (K °C⁻¹) from GFDL HIRAM C360 run with
 483 prescribed SSTs *annual* regression onto Niño3.4, with a two-tailed *t* test applied to the regression
 484 values and stippled at 99% confidence. Note in b), c), and d), land points for which temperature
 485 does not extend to 1000 mbar are masked; SLP interpolation in a) is as provided by the modeling
 486 center.



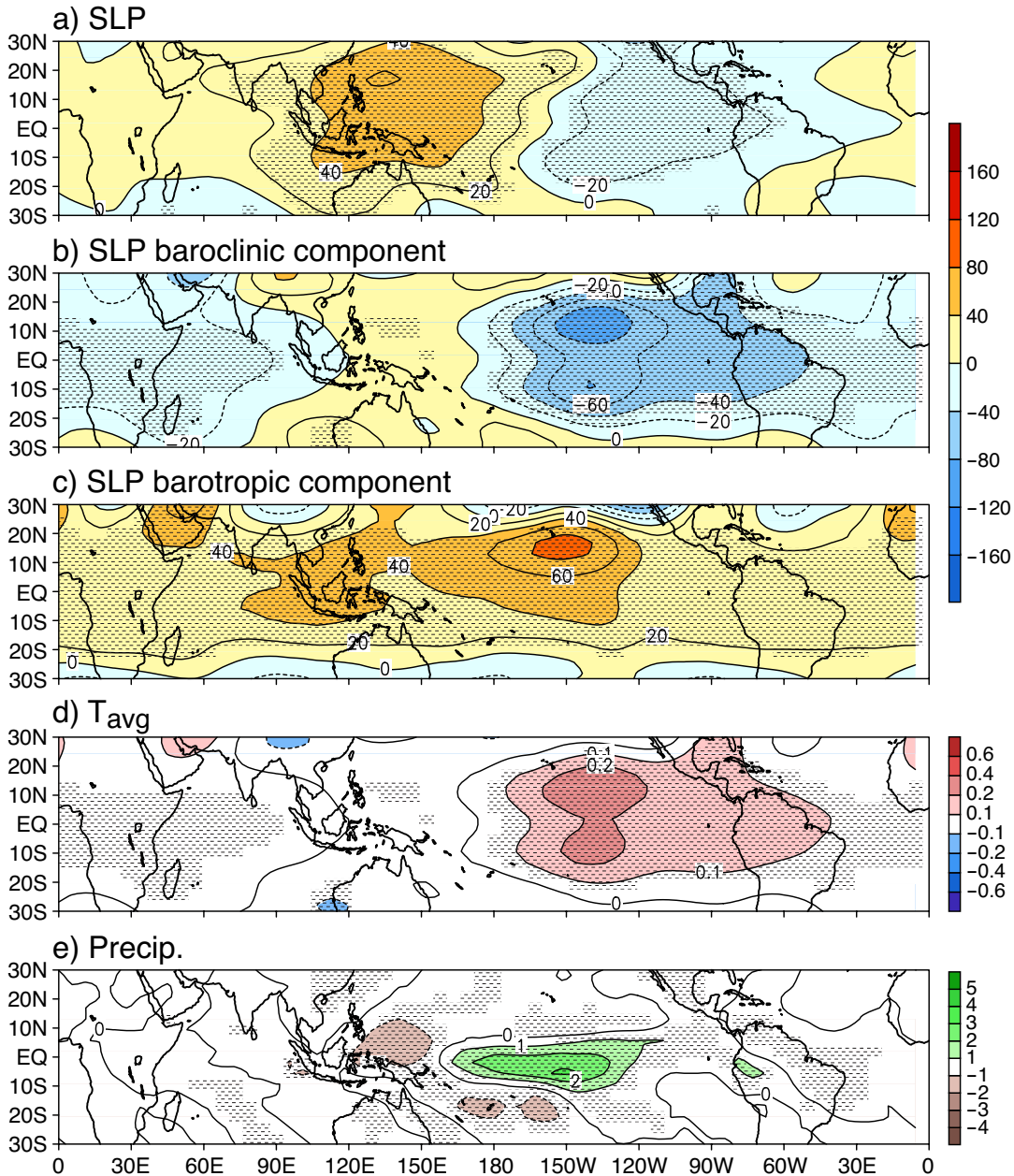
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489 Fig. 6 a) c) e) and g) SLP ($\text{Pa } ^\circ\text{C}^{-1}$), b) d) f) and h) tropospheric temperature ($\text{K } ^\circ\text{C}^{-1}$) from
 490 selected AGCM runs participating in CMIP5 *annual* regression onto Niño3.4, with a two-tailed t
 491 test applied to the regression values and stippled at 99% confidence.

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QTCM NINO3.4 Regression DJF 1982-2001

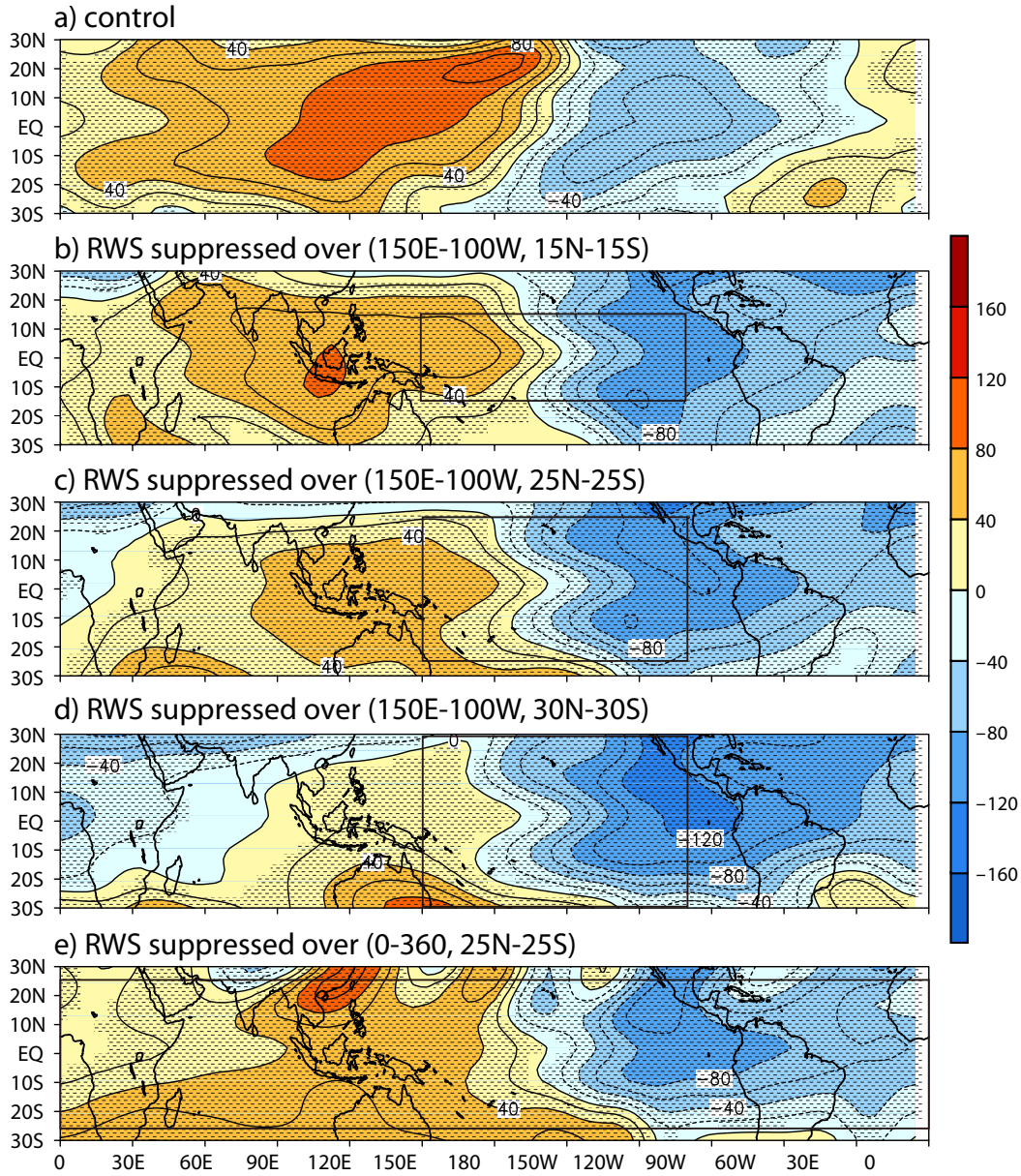


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495 Fig. 7 a) SLP (Pa °C⁻¹), b) SLP baroclinic component (Pa °C⁻¹), c) SLP barotropic component
 496 (Pa °C⁻¹), d) tropospheric temperature (K °C⁻¹), and e) precipitation (mm day⁻¹ °C⁻¹) from a 20yr
 497 QTCM run with real-time SSTs DJF regression onto Niño3.4, with a two-tailed *t* test applied to
 498 the regression values and stippled at 99% confidence.

SLP anomalies (DJF) associated with ENSO in QTCM



499

500

501 Fig. 8 SLP anomalies (Pa) associated with ENSO from QTCM experiments with suppressed
 502 barotropic Rossby wave source. a) Control run, vs. Rossby wave source suppressed over b)
 503 150°E-100°W, 15°N-15°S, c) 150°E-100°W, 25°N-25°S, d) 150°E-100°W, 30°N-30°S, and e) 0-
 504 360°, 25°N-25°S, stippled where a *t* test yields grid points significant at or above the 99%
 505 confidence level.

506

507 *Supplementary:*

508 Fig. s1 a) Zonal wind, b) zonal wind reconstructed from geopotential height at 1000mb, c) zonal
509 wind baroclinic component reconstructed from baroclinic geopotential height at 1000mb, d) zonal
510 wind barotropic component reconstructed from barotropic geopotential height at 1000mb from
511 NCEP-NCAR reanalysis *annual* regression onto Niño3.4, with a two-tailed t test applied to the
512 regression values and stippled at 99% confidence. The reconstructed wind is a solution to the
513 equations $-\varepsilon u + fv = -\partial_x \phi$ and $-\varepsilon v - fu = -\partial_y \phi$, forced by the specified 1000 mbar
514 geopotential, where an assumed bulk damping due to surface stress is used, with a value ε
515 $=(1\text{day})^{-1}$.

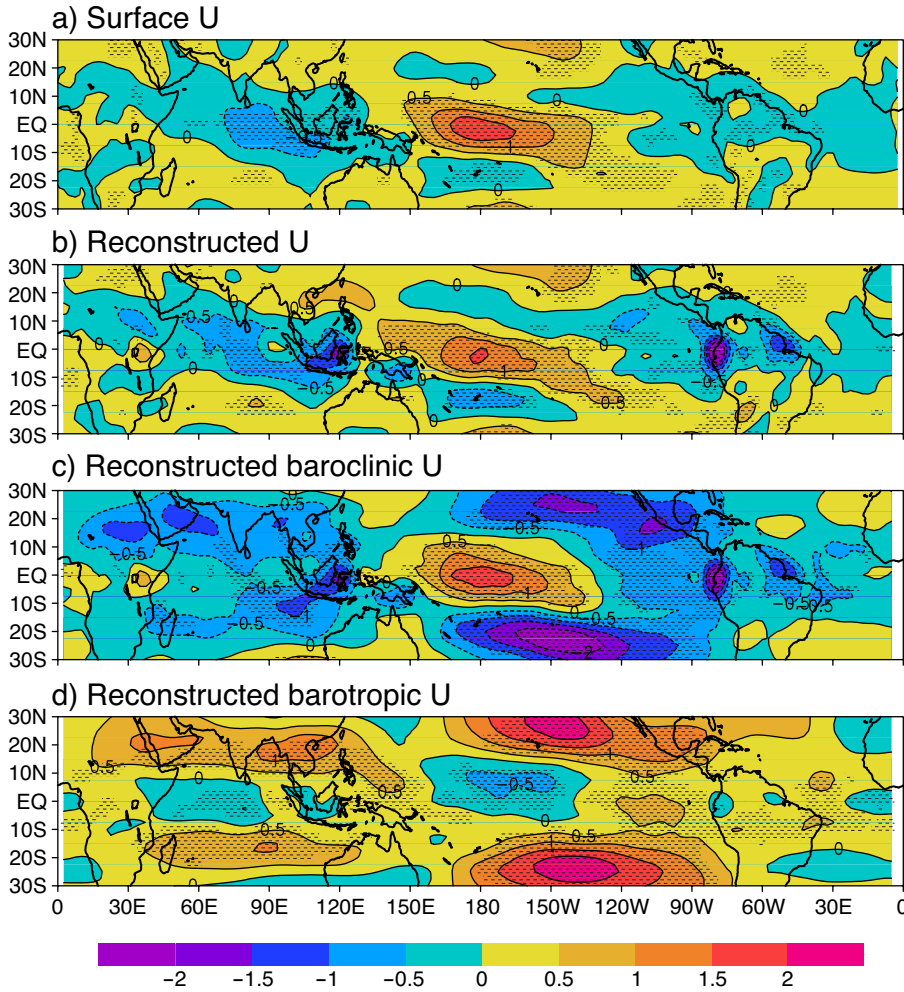
516 Fig. s2 a) Surface wind, b) surface wind reconstructed from geopotential height at 1000mb, c)
517 surface wind baroclinic component reconstructed from baroclinic geopotential height at 1000mb,
518 d) surface wind barotropic component reconstructed from barotropic geopotential height at
519 1000mb from NCEP-NCAR reanalysis *annual* regression onto Niño3.4. The units are m s^{-1} .

520 Fig. s3 a) SLP ($\text{Pa } ^\circ\text{C}^{-1}$), b) SLP baroclinic component ($\text{Pa } ^\circ\text{C}^{-1}$), c) SLP barotropic component
521 ($\text{Pa } ^\circ\text{C}^{-1}$), d) tropospheric temperature ($\text{K } ^\circ\text{C}^{-1}$), and e) precipitation ($\text{mmday}^{-1} ^\circ\text{C}^{-1}$) from a 20yr
522 QTCM run with real-time SSTs *annual* regression onto Niño3.4, with a two-tailed t test applied
523 to the regression values and stippled at 99% confidence.

524 Fig. s4 a) SLP anomalies (Pa), b) SLP anomalies baroclinic component (Pa), c) SLP anomalies
525 barotropic component (Pa) associated with ENSO from QTCM control run in Fig. 8a, stippled
526 where a t test yields grid points significant at or above the 99% confidence level.

527 Fig. s5 QTCM barotropic Rossby wave source anomalies associated with ENSO from QTCM
528 control run in Fig. 8a. a) Total, b) shear advection, c) surface drag baroclinic component, and d)
529 vertical advection, stippled where a t test yields grid points significant at or above the 99%
530 confidence level. See text for description of each term.

NCEP NINO3.4 Regression ANN 1982-2001

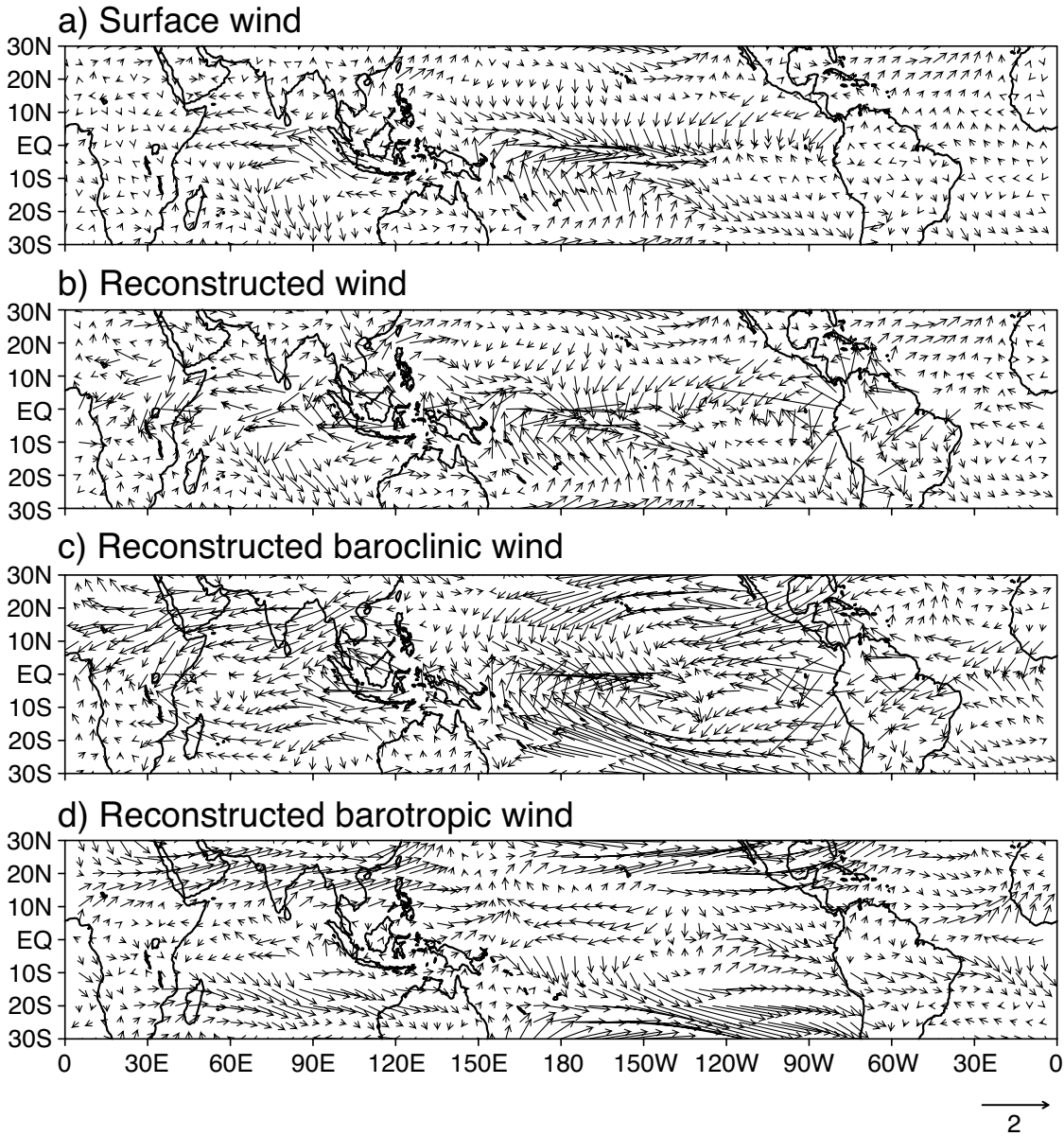


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533 Fig. s1 a) Zonal wind, b) zonal wind reconstructed from geopotential height at 1000mb, c) zonal
 534 wind baroclinic component reconstructed from baroclinic geopotential height at 1000mb, d) zonal
 535 wind barotropic component reconstructed from barotropic geopotential height at 1000mb from
 536 NCEP-NCAR reanalysis *annual* regression onto Niño3.4, with a two-tailed *t* test applied to the
 537 regression values and stippled at 99% confidence. The reconstructed wind is a solution to the
 538 equations $-\epsilon u + fv = -\partial_x \phi$ and $-\epsilon v - fu = -\partial_y \phi$, forced by the specified 1000 mbar
 539 geopotential, where an assumed bulk damping due to surface stress is used, with a value ϵ
 540 $= (1\text{day})^{-1}$.

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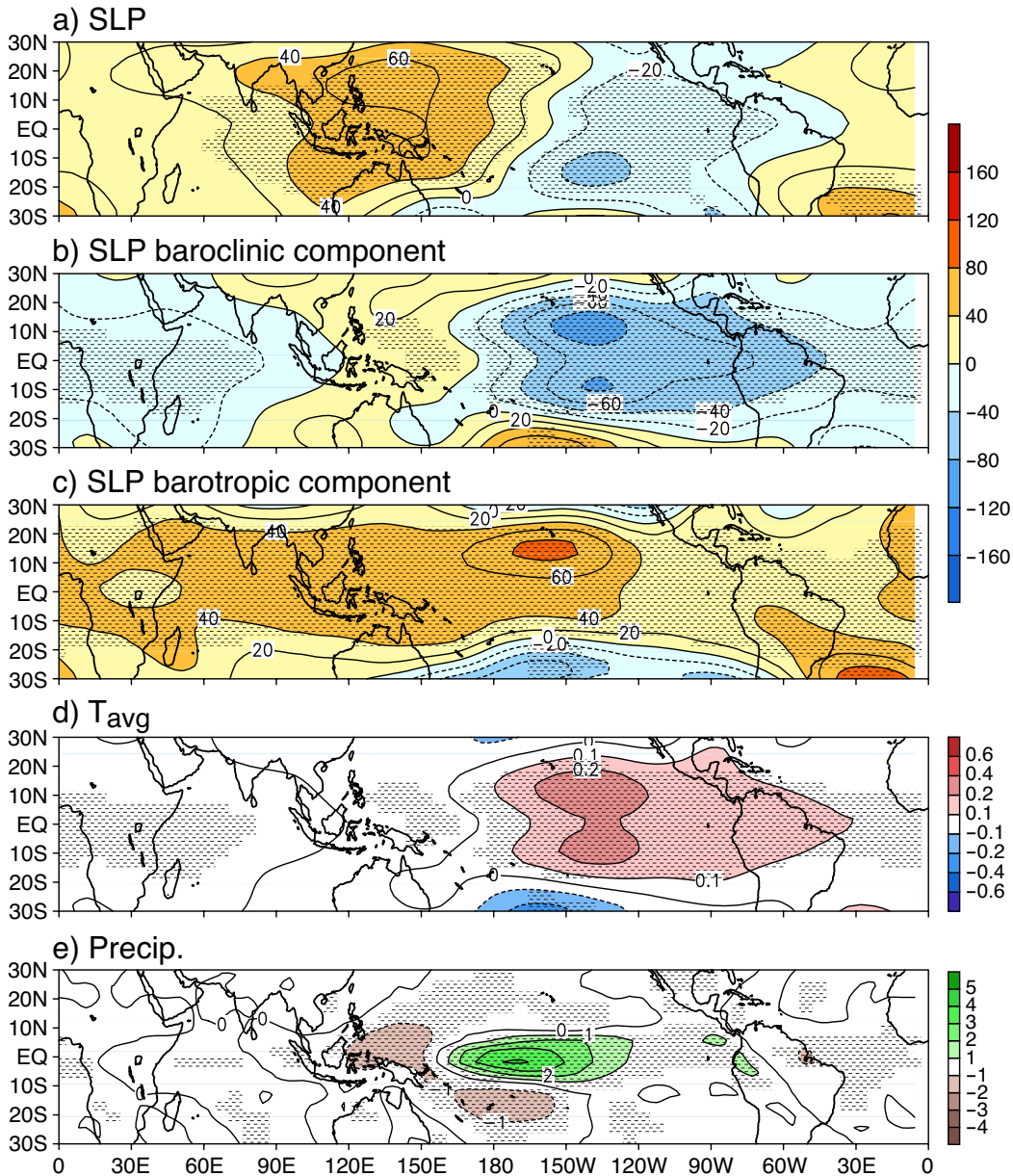
NCEP NINO3.4 Regression ANN 1982-2001



542

543 Fig. s2 a) Surface wind, b) surface wind reconstructed from geopotential height at 1000mb, c)
544 surface wind baroclinic component reconstructed from baroclinic geopotential height at 1000mb,
545 d) surface wind barotropic component reconstructed from barotropic geopotential height at
546 1000mb from NCEP-NCAR reanalysis *annual* regression onto Niño3.4. The units are m s^{-1} .

QTCM NINO3.4 Regression ANN 1982-2001

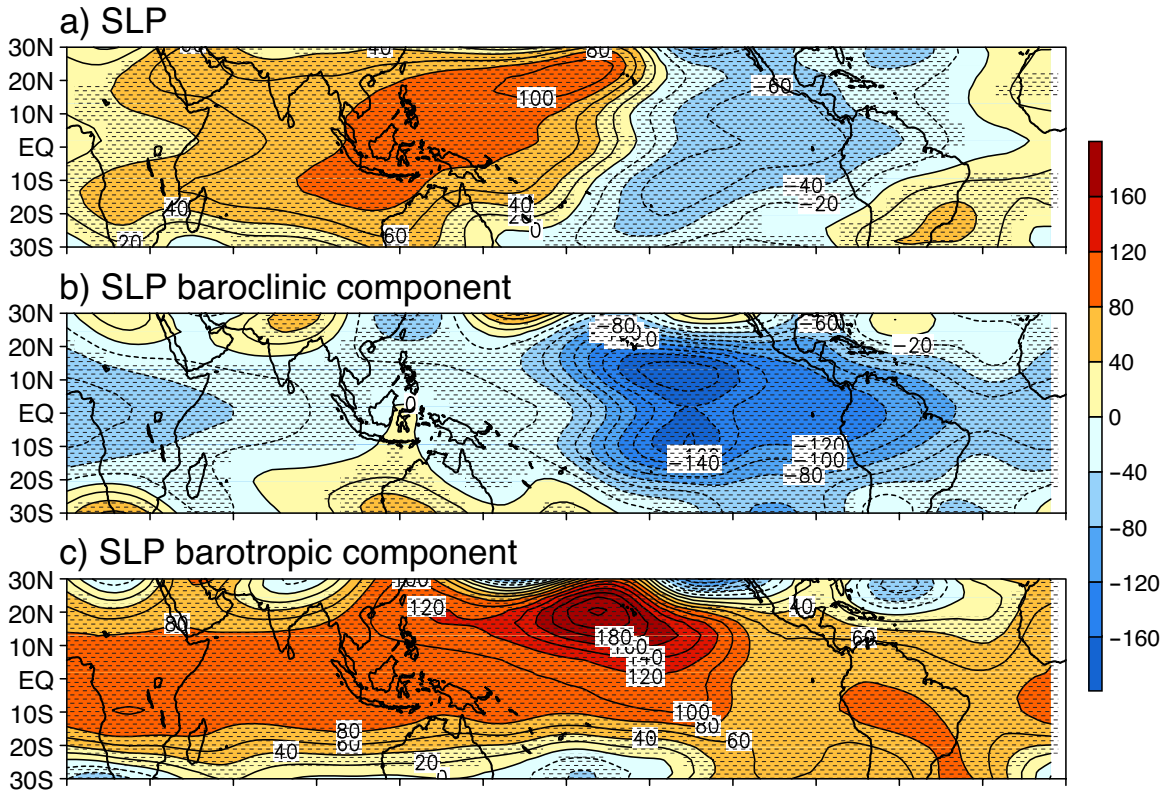


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549 Fig. s3 a) SLP ($\text{Pa } ^\circ\text{C}^{-1}$), b) SLP baroclinic component ($\text{Pa } ^\circ\text{C}^{-1}$), c) SLP barotropic component
 550 ($\text{Pa } ^\circ\text{C}^{-1}$), d) tropospheric temperature ($\text{K } ^\circ\text{C}^{-1}$), and e) precipitation ($\text{mmday}^{-1} ^\circ\text{C}^{-1}$) from a 20yr
 551 QTCM run with real-time SSTs *annual* regression onto Niño3.4, with a two-tailed *t* test applied
 552 to the regression values and stippled at 99% confidence.

SLP anomalies (DJF) associated with ENSO in QTCM



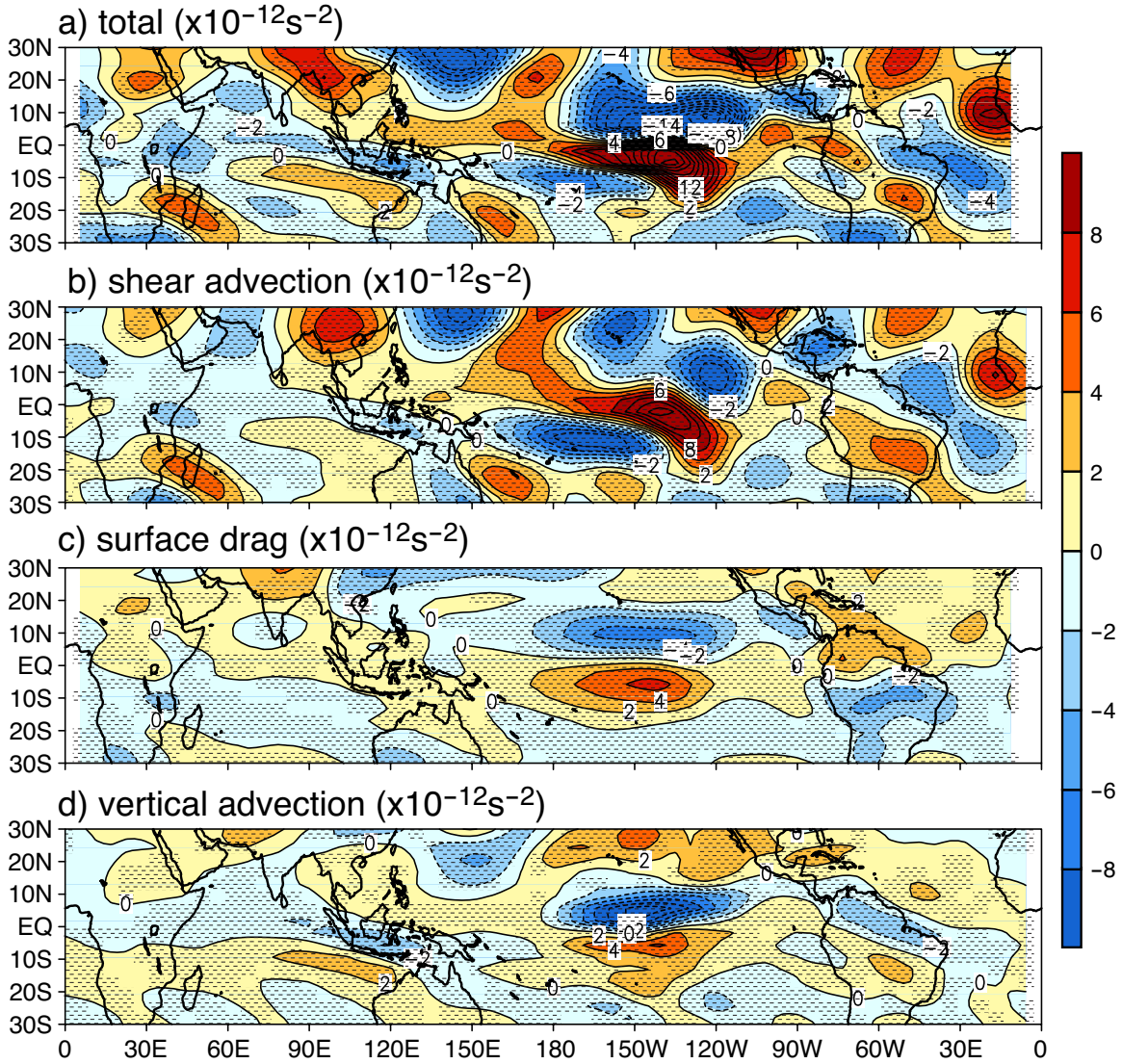
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555 Fig. s4 a) SLP anomalies (Pa), b) SLP anomalies baroclinic component (Pa), c) SLP anomalies
556 barotropic component (Pa) associated with ENSO from QTCM control run in Fig. 8a, stippled
557 where a t test yields grid points significant at or above the 99% confidence level.

558

RWS anomalies (DJF) associated with ENSO in QTCM



559

560

561 Fig. s5 QTCM barotropic Rossby wave source anomalies associated with ENSO from QTCM
 562 control run in Fig. 8a. a) Total, b) shear advection, c) surface drag baroclinic component, and d)
 563 vertical advection, stippled where a t test yields grid points significant at or above the 99%
 564 confidence level. See text for description of each term.