

1 Deep Convection and Column Water Vapor over Tropical Land vs. Tropical
2 Ocean: A comparison between the Amazon and the Tropical Western Pacific

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23
24 **Abstract**

25 The relationships between the onset of tropical deep convection, column water
26 vapor (CWV), and other measures of conditional instability are analyzed with two
27 years of data from the DOE Atmospheric Radiation Measurement (ARM) Mobile
28 Facility in Manacapuru, Brazil as part of the GOAmazon campaign, and with 3.5
29 years of CWV derived from Global Positioning System meteorology at a nearby
30 site in Manaus. Important features seen previously in observations over tropical
31 oceans — precipitation conditionally averaged by CWV exhibiting a sharp pickup
32 at high CWV, and the overall shape of the CWV distribution for both precipitating
33 and non-precipitating points — are also found for this tropical continental region.
34 The relationship between rainfall and CWV reflects the impact of lower free
35 tropospheric moisture variability on convection. Specifically, CWV over land, as
36 over ocean, is a proxy for the effect of free tropospheric moisture on conditional
37 instability as indicated by entraining plume calculations from GOAmazon data.
38 Given sufficient mixing in the lower troposphere, higher CWV generally results in
39 progressively greater plume buoyancies through a deep convective layer.
40 Although potentially greater sensitivity of buoyancy to boundary layer and
41 microphysical processes is possible, the dependence on CWV is consistent with
42 the observed onset of precipitation. Overall, leading aspects of the relationship
43 between CWV and the transition to deep convection in the Amazon have close
44 parallels over the tropical oceans. The relationship is robust to averaging on time
45 and space scales appropriate for convective physics, but is strongly smoothed for
46 averages greater than three hours or 2.5°.

47 **1. Introduction**

48 Despite the complex relationships, interactions, and feedbacks that exist
49 among the atmosphere, land, and ocean, a robust relationship exists between
50 precipitation and column water vapor (CWV). Bretherton et al. (2004) identified a
51 smooth relationship of CWV and precipitation in daily mean satellite
52 observations. On shorter timescales, conditionally average precipitation rate
53 increases sharply with increasing CWV (Peters and Neelin 2006, Holloway and
54 Neelin 2009, Neelin et al. 2009). This sharp pickup is associated with the onset
55 of conditional instability leading to deep convection. Furthermore, statistics of the
56 transition to deep convection are analogous to properties of a continuous phase
57 transition at a critical value of CWV (Peters and Neelin 2006; Neelin et al. 2009)
58 and can be understood in terms of stochastic variations across the deep
59 convective onset threshold (Stechmann and Neelin 2011). Evaluating this deep
60 convective transition using radiosondes from the DOE ARM site at Nauru in the
61 tropical western Pacific, Holloway and Neelin (2009) demonstrated that CWV
62 represents a proxy for the impact of free tropospheric humidity on the conditional
63 instability of entraining plumes affecting the transition from shallow to deep
64 convection, and thus that the statistics quantifying this transition provide a
65 substantial constraint on subgrid scale processes that must be represented in
66 climate models. It was previously unclear, however, the extent to which this
67 simplifying CWV-precipitation relationship applies for convective transition
68 statistics over tropical land, as fundamental differences exist in the convective
69 environment over land compared to ocean - including a stronger diurnal cycle
70 and greater variations in the boundary layer (Nesbitt and Zipser 2003).

71 There is substantial evidence suggesting the importance of free
72 tropospheric humidity to the onset of deep convection (Austin 1948; Malkus
73 1954; Yoneyama and Fujitani 1995; Brown and Zhang 1997; Wei et al. 1998;
74 Raymond and Torres 1998; Sherwood and Wahrlich 1999; Parsons et al. 2000;
75 Raymond 2000; Raymond and Zeng 2000; Tompkins 2001a; Redelsperger et al.
76 2002; Ridout 2002; Bretherton et al. 2004; Chaboureau et al. 2004; Derbyshire et
77 al. 2004; Grabowski 2003; Guichard et al. 2004; Sobel et al. 2004; Sherwood et
78 al. 2004; Kuang and Bretherton 2006; Tian et al. 2006; Wu et al. 2009; Waite and
79 Khouider 2010; Zhang and Klein 2010; Kumar et al. 2013), yet many models are
80 currently too insensitive to free tropospheric humidity (Biasutti et al. 2006; Dai
81 2006; Oueslati and Bellon 2013). This insensitivity contributes to systematic
82 errors and biases in simulated precipitation on a number of space and time
83 scales: the erroneous appearance of a double inter-tropical convergence zone (
84 Hirota and Takayabu 2013; Hirota et al. 2014); deficiencies in the simulation of
85 the Madden-Julian Oscillation (Grabowski and Moncrieff 2004; Hannah and
86 Maloney 2011; Jiang et al. 2011; Del Genio et al. 2011; Kim et al. 2012; Holloway
87 et al. 2013; Kim et al. 2014; Rowe and Houze 2015); failure to represent the
88 shallow-to-deep convective transition and diurnal cycle of deep convection
89 (Randall et al. 1991; Yang and Slingo 2001; Betts and Jakob 2002; Dai and
90 Trenberth 2004; Bechtold et al. 2004; Chaboureau et al. 2004; Guichard et al.
91 2004; Dai 2006; Del Genio and Wu 2010; Waite and Khouider 2010). The effect
92 of free tropospheric humidity on the onset of deep convection can be explained

93 through mixing between a convective plume and its surrounding environment,
94 which greatly affects the plume's buoyancy. Mixing assumptions must, therefore,
95 be appropriately constrained in convective parameterizations. This has been a
96 long-standing challenge, yet several studies have demonstrated significant model
97 improvement with realistic representations of entrainment processes (Neale et al.
98 2008; Bechtold et al. 2008; Zhao et al. 2009; Neelin et al. 2010; Sahany et al.
99 2012). In this regard, the convective transition statistics developed over tropical
100 oceans have proven useful as model diagnostics (Sahany et al. 2012, 2014) that
101 help to constrain entrainment representations, along with other observational and
102 modeling studies (Raymond and Blyth 1986; Brown and Zhang 1997; Jensen
103 and Del Genio 2006; Kuang and Bretherton 2006; Li et al. 2008; Bacmeister and
104 Stephens 2010; Luo et al. 2010; Romps and Kuang 2010). The transition to deep
105 convection can also be examined in the temporal domain (Holloway and Neelin
106 2010; Adams et al. 2013) in which timescales, lead-lag relations and the
107 distinction between temporal onset and termination (Stechmann and Neelin
108 2014) can be important.

109 There are several additional variables and processes controlling the
110 transition to deep convection that must also be understood and accurately
111 represented in models: free tropospheric moistening processes (Johnson et al.,
112 1999; Benedict and Randall, 2007; Kemball-Cook and Weare, 2001; Mapes et
113 al., 2006; Hohenegger and Stevens 2013; Kumar et al. 2013; Masunaga 2013;
114 Hagos et al. 2014); the influence of the diurnal cycle (Betts and Jakob 2002;
115 Bechtold et al. 2004; Chaboureau et al. 2004; Del Genio and Wu 2010; Zhang
116 and Klein 2010); the larger-scale dynamics forcing vertical ascent (Kumar et al.
117 2013; Hohenegger and Stevens 2013); convective downdrafts and cold pool
118 formation (Tompkins 2001b; Khairoutdinov and Randall 2006; Schlemmer and
119 Hohenegger 2014); cloud size (Boing et al. 2012); moist static energy gradients
120 (Neelin and Held 1987; Raymond et al. 2003; Lintner and Neelin, 2007, 2008,
121 2010; Ma et al. 2011); vertical wind shear (i.e. Rotunno et al. 1988; LeMone et al.
122 1998); and microphysical processes, including cloud-aerosol interactions
123 (Andreae et al. 2004; Khain et al. 2005). Important differences likely exist in the
124 way these processes and variables contribute to the conditional instability of the
125 environment over tropical land vs. tropical ocean.

126 Thus far, an insufficient observational record in the continental tropics has
127 limited development of convective transition statistics, yet the Green Ocean
128 Amazon campaign in Manacapuru, Brazil (2014-2015) has provided a unique
129 opportunity to evaluate the transition to deep convection over land, to elucidate
130 potential complexities compared to the ocean, and to develop simple, useful
131 statistics as model diagnostics. Here, we derive the CWV-precipitation
132 relationship and associated statistics with these data and with a complementary
133 3.5 year data set from the central Amazon using Global Positioning System
134 (GPS) meteorology that provides continuous, all-weather observations of CWV at
135 high temporal resolution over tropical land (Adams et al. 2011, 2013). Parallels
136 are drawn between the land and the ocean to assess whether free tropospheric
137 humidity is also of leading order importance to the conditional instability of an
138 entraining plume over land as it is over ocean. The robustness of the convective

139 transition statistics is tested as a function of spatial and temporal scales to
140 establish a benchmark for comparison between models and observations at
141 various scales. Lastly, the CWV-precipitation relationship is examined physically
142 by linking vertical profiles of key thermodynamic quantities and plume
143 buoyancies computed using turbulent mixing to the observed onset of deep
144 convection.

145

146 **2. Data**

147 A suite of observations is used to establish relationships between CWV
148 and deep convection across various instruments, time periods, and tropical
149 locations. The principal location examined is the DOE ARM Mobile Facility at
150 Manacapuru, BR (3° 12' S, 60° 35' W, 50 meters altitude), established as part of
151 the GOAmazon field campaign (January 2014 - December 2015). The
152 GOAmazon data used in this study cover the period 10 Jan 2014 to 20 Oct 2015.
153 The results for the GOAmazon site are compared to those derived from two
154 retired DOE ARM sites in the Tropical Western Pacific: Nauru Island (0° 31' S,
155 166° 54' E, 7 meters altitude) and Manus Island (2° 3' S, 147° 25' E, 4 meters
156 altitude). The analysis period used in this study and in Holloway and Neelin
157 (2009) for Nauru spans Apr. 2001- Aug. 2006, and the analysis period from
158 Manus Island spans Jan. 2008 – Dec. 2010. In terms of radiosonde launches,
159 these periods yield roughly comparable numbers to the western Pacific sites
160 (3320 for Nauru and 3309 for Manus), each somewhat larger than the 2379 for
161 GOAmazon.

162 Additional observations from a GPS meteorological station in Manaus,
163 Brazil are included in this study; this station functioned from July 2008 to
164 December 2011 as part of the National Oceanic and Atmospheric
165 Administration/Earth System Research Laboratory (NOAA/ESRL) Real-Time
166 Ground-Based GPS Meteorological Network and was located at the National
167 Institute for Amazon Research/Large Scale Biosphere-Atmosphere Experiment
168 (INPA/LBA) in Manaus (2.61°S, 60.21°W) (Adams et al. 2011, 2013).

169 *a. Column Water Vapor*

170 Radiosonde measurements at all ARM sites were obtained from Vaisala
171 Digi-Cora III sounding systems at 2-second resolution; the raw sounding data
172 were interpolated to 5-hPa intervals. Reported instrumental uncertainties are
173 approximately 0.5°C for temperature and 5% for relative humidity below 500 hPa.
174 At the GOAmazon site, radiosonde launches occurred four times daily (6 hourly),
175 at 05:30, 11:30, 17:30 and 23:30 UTC, with occasional launches at 14:30 UTC
176 during the wet season. At Nauru, launches took place at 00:00 and 12:00 UTC,
177 with occasional launches at 02:30 and 14:30 UTC, while at Manus Island, most
178 launches took place at either 11:30 or 23:30 UTC, with occasional launches at
179 03:30 or 15:30 UTC.

180 CWV data sampled by microwave radiometer (MWR) at the GOAmazon
181 site are derived from measurements of absolute microwave radiances
182 (expressed as brightness temperatures) obtained at two frequencies: 23.8 and
183 31.4 GHz. The retrieval uncertainty for brightness temperatures is 0.3 K and for
184 column water vapor is typically ~ 0.5 mm. All data for which the brightness

185 temperature exceeds 100 K are removed from this dataset (Morris 2006), as are
186 data that are affected by direct sunlight near local noon (15Z – 17Z) for roughly a
187 3-week period surrounding the equinoxes. To address the so-called wet-window
188 problem, in which water collecting on the surface of the lens introduces
189 measurement inaccuracy during rainy periods, we linearly interpolate CWV
190 values across time-periods of 6 hours or less. While the linear interpolation
191 procedure may introduce uncertainty (for example it likely underestimates peak
192 CWV), the data gaps are typically short and the temporal persistence of water
193 vapor values for strong convective events is on the order of hours (Holloway and
194 Neelin 2010). Additionally, Figure A1 in the appendix illustrates that there is no
195 obvious systematic bias at high CWV for the times sampled (15-minute average
196 radiometer CWV surrounding radiosonde launch between 10 Jan 2014 and 30
197 Sep 2014), which suggests that this interpolation does not greatly affect the
198 results presented in this study.

199 One way to overcome measurement inaccuracy during rainy times is
200 through use of GPS technology, as its all-weather capability allows for CWV
201 measurements during rainy times (Adams et al. 2011). The CWV from GPS is
202 derived from water-vapor-induced delays in the radio signals from the satellite to
203 the ground-based receiver (Bevis et al., 1992), and its accuracy in the Amazon is
204 on the order of 1-2 mm (Adams et al. 2011). The INPA site consisted of a dual
205 frequency, geodetic-grade GNSS receiver/antenna and meteorological station
206 concurrently measuring pressure, temperature, relative humidity, winds, and
207 precipitation at 1 min sampling frequency. NOAA/ESRL processed the GNSS
208 data in near real time (2 h latency), with 30-minute average CWV values used in
209 this study.

210

211 *b. Precipitation*

212 The GOAmazon precipitation measurements analyzed in Sections 3 and 4
213 are from the Aerosol Observing System (AOS) meteorological station, measured
214 by the acoustic gauge of a Vaisala WXT520. When related to radiosonde CWV,
215 AOSMET precipitation is averaged at 1-hour intervals surrounding the launch; for
216 analyses with radiometer CWV, AOSMET precipitation is averaged at 15-minute
217 intervals. In Section 3, the averaging intervals are varied to evaluate the
218 robustness of the statistics. These data were chosen among many other datasets
219 available because we deemed them the most reliable over the full 2014-2015
220 period (a detailed comparison of the different precipitation observing systems
221 available at the GOAmazon site is included in the Appendix).

222 The precipitation measurements used in this study vary slightly across
223 sites due to differences in instrumentation availability and reliability. In the
224 tropical western Pacific at the Nauru and Manus Island ARM sites, precipitation
225 was measured with an Optical Scientific optical rain gauge (ORG815), and 1-
226 hour averages surrounding radiosonde launches are analyzed in Section 2.
227 Section 5 uses precipitation from a Vaisala WXT-520 at the INPA site in Manaus,
228 Brazil (30-minute averages) for the analysis with GPS-derived CWV.

229 Section 4 assesses the robustness of the statistics presented as the
230 horizontal resolution of the precipitation measurements decreases. We thus

231 average precipitation from the Tropical Rainfall Measuring Mission's (TRMM)
232 3B42 (version 7) product across various spatial scales. The 3B42 precipitation
233 estimates (mm hr^{-1}) have a 3-hourly temporal resolution on a $0.25^\circ \times 0.25^\circ$ grid,
234 covering $50^\circ\text{S} - 50^\circ\text{N}$ from 01 Jan 1998 - present. The TRMM 3B42 precipitation
235 estimates are a combination of multiple independent precipitation estimates from
236 various microwave retrievals and algorithms, while missing data in individual 3-
237 hourly merged-microwave retrievals are filled with microwave-adjusted merged
238 geo-infrared (IR) estimates. The precipitation radar (PR) and TRMM microwave
239 imager (TMI) are used to calibrate all input microwave data, while the IR
240 estimates are computed using monthly matched microwave-IR histogram
241 matching (Huffman et al. 2007). Estimates of precipitation from the microwave
242 instruments are derived from several versions of the Goddard Profiling Algorithm
243 (GPROF), a multi-channel physical approach used to retrieve rainfall and vertical
244 structure information (Kummerow et al. 2001). Over the oceans, GPROF uses
245 signals from emission at low frequencies and scattering at higher frequencies.
246 Over land, the algorithm reduces to a scattering-type procedure using only the
247 higher-frequency channels. All of these estimates are adjusted to a best estimate
248 using probability matching of precipitation rate histograms assembled from
249 coincident data. Note that both the microwave and IR data are snapshots, except
250 for small regions in which two or more overlapping microwave scenes are
251 averaged. Generally, however, each satellite provides a sparse sampling of
252 precipitation. As a result there can be significant gaps in the 3-hourly coverage
253 by passive microwave estimates. Because of this, precipitation estimates can be
254 thought of as instantaneous values, representative of the 3-hour period in which
255 they fall.

256

257 **3. The Relationship between Deep Convection and CWV over Tropical Land** 258 **vs. Tropical Oceans**

259 *a. The GOAmazon Site - Manacapuru, BR*

260 To illustrate the relationship between CWV and deep convection at the
261 GOAmazon site, we conditionally average precipitation rate by CWV in Figure 1.
262 Figure 1a is the 1-hour average precipitation rate conditioned on radiosonde
263 CWV, with the average centered at the time of radiosonde launch.
264 Measurements for all available times (05:30, 11:30, 17:30 and 23:30 UTC, and
265 occasionally 14:30 UTC) were included in the averages. Note that for the
266 statistics presented throughout, CWV bins are typically of equal 1.5 mm width
267 and range from 28 mm to 70 mm; exceptions to this will be noted where
268 appropriate, such as here, where the highest CWV bin spans 6 mm from 64 mm
269 to 70 mm, in order to include sufficient counts.

270 Beyond a threshold CWV value, a sharp increase in rain rate is evident.
271 This confirms that the CWV-precipitation relationship and associated behavior
272 exists over tropical land as it does over tropical ocean (Peters and Neelin 2006;
273 Neelin et al. 2009; Holloway and Neelin 2009). The limited sampling of high
274 CWV in the GOAmazon radiosonde observations, reflected in the large error bars
275 (± 1 standard error), limits the precision with which the behavior above the
276 pickup can be estimated; nevertheless, the data are sufficient to establish the

277 occurrence of the pickup, and the radiosonde observations are key to analyzing
278 the vertical structure, which will be discussed in Section 6 below.

279 The larger sample size of radiometer CWV affords better quantification of
280 the behavior at high CWV (Figure 1d). For this purpose, Figs. 1d-f include four
281 additional 1.5 mm bins at high CWV, in comparison to Figs. 1a-c. A sharp pickup
282 is clearly evident in this dataset. Additionally, the conditionally averaged rain
283 rates in the 61-64 mm range in Fig. 1a and the magnitudes observed in the 61-64
284 mm range of Fig. 1b mimic each other, demonstrating the robustness of the
285 results across various instruments. A strong correlation ($r=0.91$) between the 15-
286 minute average radiometer CWV and radiosonde CWV (see Fig. A1) further
287 highlights this consistency.

288 The value of CWV at which the rapid pickup in precipitation begins,
289 referred to as the critical value, is a useful measure in characterizing this onset.
290 For the short, *in situ* datasets used here, empirical fits involve relatively few
291 points with large error bars, so we simply use the point at the beginning of the
292 rapidly increasing range as a rule of thumb. Estimating the critical value by a
293 linear fit through the range over which precipitation is rapidly increasing, as in
294 Sahany et al. 2014, and choosing a range of above 1 mm hr^{-1} (appropriate for
295 these data) yields a CWV value of $\sim 60 \text{ mm}$ where the interpolation crosses 1 mm
296 hr^{-1} (Fig. 1d). This range is, however, instrument dependent.

297 Compared to the results from Neelin et al. 2009, the mean tropospheric
298 temperature at the GOAmazon site is 271.4 K, so the location of the pickup for
299 GOAmazon occurs at lower CWV ($\sim 61 \text{ mm}$) than for comparable temperatures
300 in the tropical eastern Pacific ($\sim 65 \text{ mm}$, interpolated between 271 and 272 K).
301 This is consistent with the expectation that the mean tropospheric temperature is
302 only one of several controls on the onset of conditional instability and thus the
303 location of the pickup, and indicates that other key factors differing between
304 tropical land and ocean are reflected in the onset. Specifically, boundary layer
305 dynamics introduce additional complexity to the transition to deep convection
306 over land, as the diurnal cycle is stronger over land and the partitioning of
307 surface net radiation between latent and sensible heat fluxes depends on the
308 interactions between several surface attributes (e.g., vegetation growth and soil
309 moisture) and the atmosphere.

310 The curvature above the critical CWV in the radiometer analysis is
311 qualitatively resembles the behavior observed over the tropical oceans (Peters
312 and Neelin 2006; Neelin et al. 2009), but we are cautious in drawing conclusions
313 about this given the scatter at high values and limitations of the radiometer. The
314 quantitative values of the conditionally averaged precipitation in the pickup region
315 are slightly smaller than those in microwave retrievals in Neelin et al. (2009) and
316 Sahany et al. (2014), presumably in part a result of inherent uncertainties at high
317 rain rates, particularly in the satellite observations where precipitation is inferred
318 from cloud liquid water. Comparing the 15-minute averages from the GOAmazon
319 site to microwave retrievals over the tropical oceans (effectively snapshots) may
320 also play a role.

321 Figures 1b and 1e illustrate an equally sharp increase in probability of
322 precipitation as a function of CWV comparable to that shown for conditionally

323 averaged rain rate in Figs. 1a and 1d, respectively. The fraction of precipitating
324 points per CWV bin is defined as the number of CWV observations with rain
325 rates greater than a small threshold (here 0.5 mm hr^{-1}), divided by the total
326 number of CWV samples in each bin. The probability increases dramatically
327 above the critical value, sharply increasing to values greater than 50% in the
328 highest CWV bins.

329 Figures 1c and 1f show the frequency of occurrence of different CWV
330 values for all times and for precipitating times (where precipitation rates exceed
331 0.5 mm hr^{-1}) at the GOAmazon site for radiosonde and radiometer CWV,
332 respectively. Curves are scaled with respect to CWV bin sizes, similar to a
333 probability density function (PDF) but in counts per millimeter - referred to here
334 as frequency density. We chose not to normalize to instead yield PDFs to make
335 the counts for each bin visible, as the lengths of the available datasets vary by
336 instrument and location. The peak in the distribution of CWV, for both the
337 radiometer and radiosonde analysis, occurs between 55-60 mm. The occurrence
338 of the peak in the distribution occurs just below the critical point, consistent with
339 the findings of Peters and Neelin (2006) and Neelin et al. (2009). The highest
340 probability state of the system is near the beginning of the intense precipitation
341 regime, as is shown by the distribution of precipitating points (the peak occurs in
342 the 61-62.5 mm bin in the radiometer analysis, and is slightly more spread out in
343 the radiosonde data). Below 45 mm, no events exceeding the 0.5 mm hr^{-1}
344 threshold are observed.

345 The longer-than-Gaussian tails of this distribution are also consistent with
346 those seen in previous studies (Neelin et al. 2009; Neelin et al. 2010), seen here
347 with different instrumentation. Because of the lower number of radiosonde
348 observations, we focus on radiometer observations (Fig. 1f). Firstly, there is a
349 long tail extending towards lower CWV in the distribution for precipitating points.
350 The peak occurs just below or near the critical point, with a sharp decrease in
351 frequency towards higher CWV in the region of rapid pickup of precipitation,
352 consistent with the dissipative effects of precipitation on CWV (and of convection
353 on buoyancy). Beyond the critical value, there is evidence of a long tail with
354 roughly exponential decay as CWV increases, suggesting that the system is
355 characterized by a higher frequency of extremes than would be expected from
356 Gaussian statistics. This behavior is particularly evident in the radiometer
357 analysis shown in Fig. 1f, but low counts in the high CWV bins limit confidence in
358 this feature.

359 Many of the transition to deep convection statistics can be qualitatively
360 and quantitatively captured by a simple stochastic model (Stechmann and Neelin
361 2011). This model suggests that the long tail for precipitating points in the low
362 CWV regime is associated with a transition probability in which it typically takes
363 some time to transition to a non-raining state when CWV decreases from the
364 raining regime. The same hysteresis affects the position and value of the peak in
365 the distribution for precipitating points, consistent with results here, suggesting it
366 may be interesting in further work to distinguish temporal aspects of the
367 transition, including formation of stratiform rain. The behavior of the distribution
368 for all points at low CWV is expected to be rather dependent on the dynamics of

369 the dry regime and has been noted to have various forms over ocean basins,
370 including a second maximum. This may occur near the balance between
371 evaporation and moisture divergence (Lintner and Neelin 2009).

372 We suggest that the statistics presented here provide useful observational
373 constraints for representing the transition to deep convection in stochastic
374 convective parameterizations, and shed some light on important differences
375 between land and ocean that must be considered.

376

377 *b. The Tropical Western Pacific*

378 Figure 2 illustrates the CWV-precipitation relationship for two sites in the
379 tropical Western Pacific - Nauru (Fig. 2a-c) and Manus Island (Fig. 2d-f).
380 Compared to the Amazon in Fig. 1, the tropical western Pacific sites show very
381 similar behavior. Radiosonde estimates are shown in Fig. 2, which can be
382 directly compared to Fig. 1a-c. Both pickups of precipitation, for Nauru (Fig. 1a)
383 and Manus Island (Fig. 1d), occur at higher values of CWV (~67 mm) than in the
384 Amazon. As was discussed in Section 1a, this is due in part to small differences
385 in the mean tropospheric temperatures (272.0 K at Nauru, 271.9 K at Manus
386 Island) but is also likely due to key fundamental differences in the convective
387 environments of a tropical land site vs. a tropical oceanic site. For reference, the
388 values of column integrated saturation specific humidity (\widehat{q}_{sat}) for the three sites
389 are 76.0 mm, 75.2, and 73.0 mm at Nauru, Manus Island and the GOAmazon
390 site, respectively, although it is known for tropical ocean basins spanning a wider
391 range of tropospheric temperatures that \widehat{q}_{sat} poorly captures the temperature
392 dependence (Neelin et al. 2009, Sahany et al. 2012) because the relevant
393 physical control is conditional instability rather than large-scale saturation.

394 As in the GOAmazon case, the fractions of precipitating points (Figs. 2b
395 and 2f, for Manus Island and Nauru respectively) sharply increase to 50% or
396 greater beyond a critical CWV. This, again, illustrates that a sharp transition
397 occurs not only in rain rate, but also in the probability of precipitation beyond a
398 threshold CWV. In Fig. 2c, Manus Island exhibits distinct peaks in its
399 distributions: the peak of the CWV distribution occurs between 58 and 60 mm,
400 whereas the peak in the distribution of precipitating points occurs between 60-63
401 mm. This is consistent with the findings from previous studies, where the peak in
402 the precipitating points occurs at slightly lower CWV than the critical value. Also
403 consistent is the sharp decrease in the frequency of CWV between the
404 distribution peak and the CWV values where precipitation picks up rapidly. These
405 characteristics are also observed for Nauru, but the peaks in the distributions of
406 CWV and the precipitating points are broader in this sample from radiosondes,
407 i.e., the CWV distribution peak spans roughly 8 mm (~50-58 mm), whereas the
408 peak in the distribution of precipitating points spans roughly 10 mm (~57-67 mm).

409 Even though marginal differences can be observed across locations, the
410 main features of these statistics are consistent and robust across all three
411 tropical locations. This suggests that CWV is a good proxy for conditional
412 instability and has a clear relationship to the onset of deep convection throughout
413 the tropics.

414

4. The robustness of the observed statistics at various scales

a. The effects of temporal averaging

To explore how averaging over differing temporal scales can affect the statistics describing the transition to deep convection, we compute the transition statistics at various averaging intervals with *in situ* precipitation and radiometer CWV from the GOAmazon site. Four averaging intervals were chosen for this analysis: 15-min, 1-hour, 3-hour and 1-day averages. These intervals were chosen to be most comparable to the current output available from models and observations.

In Fig. 3a, the magnitude of the conditionally averaged precipitation in the highest four CWV bins diminishes considerably as the averaging interval increases. For 3-hour averages, the pickup is degraded, while for daily averages, the pickup is almost non-existent. Despite some variability in the shapes of the curves, the overall locations of the pickups are robust for temporal resolutions of three hours or less. The location of the probability curve pickup, however, varies substantially as the size of the averaging interval increases: larger averages pick up sooner and have a higher probability of precipitating at high CWV. This can be explained by the fact that the 3-hourly and daily averages are more likely to span times where it is raining than the shorter averages are. Overall, these results illustrate how the statistics vary with temporal resolution, which should be considered when applying them as model diagnostics.

b. The effects of spatial averaging

The relationship between spatially averaged TRMM 3B42 3-hourly instantaneous precipitation (see Section 2) and radiometer CWV (15-min averages) over the GOAmazon site is shown in Figure 4 for 0.25 x 0.25 degrees (a-c), 1.25 x 1.25 degrees (d-f), and 2.5 x 2.5 degrees (g-i). At either 0.25° or 1.25°, the relationship is comparable to the results in Fig. 1 and thus robust. However, at 2.5°, it starts to deteriorate, as the pickup of precipitation and the percentage of precipitating points occur too soon in comparison to Fig. 1d-e. These results are encouraging, as they suggest that resolutions up to about 1.25 x 1.25° are still of sufficient spatial resolution to reproduce robust statistics that explain the CWV-precipitation relationship, given that the temporal resolution is also adequate. This implies that these statistics are reproducible using the horizontal resolutions available with many current generation GCMs. In such comparisons, it should be borne in mind that a GCM with, e.g., 2° resolution may respond at the finest scale available to it, i.e. the grid scale, in a manner similar to the convective response occurring at finer scales in observations.

5. Use of GNSS Meteorological Networks in the Tropics

For two decades, GNSS/GPS meteorology has offered relatively inexpensive, high-frequency (~5 min), all-weather retrievals of CWV, and is thus ideal for analyses requiring long, continuous records of observed CWV over land. This is particularly useful for studies in the tropics, where collecting in-situ measurements is a challenge. We thus evaluate the convective transition statistics here for GPS data from a site near Manaus. In Figure 5, the statistics are reproduced for GPS CWV and coincident measurements of precipitation (30-

461 minute averages) as in Fig. 1. Note that the precipitation measurements from the
462 INPA site are biased low (see Appendix). Therefore, for better comparison to the
463 statistics in Fig. 1, the range shown on the precipitation axis (0-1.28 mm hr⁻¹) is
464 reduced relative to the range on the other pickup plots (0-6 mm) by a factor of 4.7
465 - the ratio of means of precipitating points between the 30-minute average
466 precipitation from both sites. Additionally, the threshold for identifying
467 precipitating points is lowered to 0.1 mm hr⁻¹ to more appropriately complement
468 the 0.5 mm hr⁻¹ threshold used in Fig. 1. When measurement differences are
469 properly accounted for, Figs. 1 and 5 compare well: the location and shape of the
470 pickup of precipitation is consistent, the probability of precipitation is just below
471 50% in the highest bin, and the distribution of CWV and precipitating points
472 resides near to the transition, with a sharp drop in frequency between the peak
473 and the transition and a long tail extending out to high CWV. This suggests GPS
474 technology will be valuable in observing characteristics of convection at high
475 temporal resolution throughout tropical land regions.

476

477 **6. Characterizing the variability of column moisture**

478 *a. Vertical thermodynamic profiles*

479 Vertical profiles of thermodynamic quantities - specific humidity (q),
480 relative humidity (RH) and equivalent potential temperature (θ_e) - are
481 conditionally averaged on CWV in Figs. 6a, 6b, and 6c, respectively. In Fig. 6a, it
482 is evident that profiles of q are most variable in the layers above 800 mb at the
483 GOAmazon site. This differs slightly from the western Pacific case, as the
484 variability in free tropospheric q (850-500mb) with respect to CWV is slightly less
485 over the Amazon than it is for Nauru (see Figure 3a Holloway and Neelin 2009),
486 presumably due to stronger horizontal moisture gradients near Nauru.
487 Additionally, the contribution from the boundary layer is greater at the
488 GOAmazon site than it is over the tropical western Pacific.

489 RH profiles belonging to the highest CWV bins at the GOAmazon site (>
490 61 mm) are at least 90% saturated throughout the lower troposphere. At Nauru,
491 this is the case for CWV greater than 66 mm (see Fig. 4a, Holloway and Neelin
492 2009), suggesting that the column is saturated for lower CWV in the Amazon
493 than it is over the tropical western Pacific. The variability observed in RH is highly
494 consistent with variability in column moisture, since free tropospheric
495 temperature variations tend to be modest.

496 Equivalent potential temperature (θ_e), calculated reversibly following
497 Emanuel (1994) in Fig. 6c, is an approximate measure of non-entraining parcel
498 buoyancy, as convective available potential energy (CAPE) can be approximated
499 by drawing a vertical line upward from the initial θ_e . Where this line crosses the
500 θ_{es} curve is roughly the level of free convection (LFC) of the unmixed parcel; the
501 area to the left of the vertical line and to the right of the θ_{es} curve is roughly
502 proportional to CAPE. θ_e at the GOAmazon site shows similar overall variability
503 in the vertical as it does at Nauru. In the absence of entrainment, many of the
504 profiles belonging to the highest CWV bins have sufficient θ_e to support deep
505 convection, providing that the convective inhibition (CIN) residual from the

506 nighttime hours (seen in the θ_e profile) could potentially be overcome. This will be
507 discussed further in Section 7.

508

509 *b. Moisture anomalies*

510 Figure 7 illustrates the differences in q at 1.5 - 3 hours leading (red) and
511 1.5 - 3 hours lagging (blue) precipitation, between profiles corresponding to
512 precipitation events (rain rate $> 0.5 \text{ mm hr}^{-1}$) and those that do not correspond to
513 a precipitation event (rain rate $< 0.01 \text{ mm hr}^{-1}$) for January - April soundings only.
514 Leading an event, moisture anomalies exceeding 0.5 g kg^{-1} and as large as 1 g
515 kg^{-1} are seen clearly throughout the lower troposphere. This is consistent with
516 evidence that increased low-tropospheric humidity supports deep convective
517 initiation. These moisture anomalies are also seen in the tropical western Pacific
518 at Nauru (Fig. 5, Holloway and Neelin 2009), where anomalies as large as 3 g kg^{-1}
519 occur in the lower-mid troposphere within 3 hours of a precipitation event.

520 Within 1.5 hours before the precipitation event, the anomaly increases
521 throughout the entire troposphere, with a particularly large increase in the lower
522 troposphere between 750-950 mb, highlighting the enhancement of moisture in
523 the lower troposphere as playing a role in the onset of deep convection. During a
524 precipitation event, the anomaly in the 750-950 mb layer decreases, suggesting
525 that moist air is lofted by updrafts, with drier air from downdrafts diluting the
526 layer's moisture content. This lofting and detrainment of moist air can be seen in
527 the increased anomaly of mid-upper tropospheric humidity between 200-700 mb.
528 As precipitation dissipates, this mid-upper tropospheric anomaly persists for
529 hours afterwards, which may aid in supporting subsequent convective events.
530 These anomalies are present in the tropical western Pacific case as well, but the
531 vertical structure is more consistent throughout the 6-hour period than it is for the
532 GOAmazon case, i.e. the maximum q anomaly at all times is around 800 mb. In
533 the GOAmazon case, on the other hand, the maximum 1.5 hours before
534 precipitation is found around 900 mb, during precipitation it is around 700 mb,
535 and after precipitation it is found at about 500 mb. Additionally, separating the
536 analysis out by time-of-day (not shown) indicates that these moisture anomalies
537 are consistent for events occurring at all times of day.

538 Overall, in both the Amazon and the tropical western Pacific, humidity is
539 enhanced throughout most of the troposphere for several hours leading and
540 lagging the original precipitation event. Free tropospheric humidity appears to
541 behave similarly in land and ocean cases (although with larger amplitude
542 variation in the Western Pacific), whereas boundary layer moisture is more
543 variable on short time scales in the land case. The Amazon also more clearly
544 exhibits lofting of moisture into the upper tropospheric moisture, while both the
545 Amazon and western Pacific exhibit reduced boundary layer moisture after
546 convection.

547

548 *c. Dependence on Time-of-Day*

549 Considering the strength of the diurnal cycle over land, it is natural to
550 wonder whether CWV is a good proxy for conditional instability at all times of
551 day, given how conditions contributing to instability can vary diurnally. Fig. 8

552 suggests that the relationship between CWV and precipitation is robust at all
 553 times of day. Figure 8a shows the relationship between 15-min average
 554 radiometer CWV and precipitation for nighttime hours (7 pm – 8 am). The time
 555 intervals were chosen to complement the radiosonde launch times and the
 556 analysis presented in Section 7. Figure 8d shows this relationship for the midday
 557 hours, which are the most convective hours of the day (10 am – 4 pm). The
 558 pickups of both conditionally averaged precipitation (Figs. 8a and 8d) and the
 559 probability of precipitation (Figs. 8b and 8e) affirm that the relationship is robust
 560 throughout all times of day. The frequencies of occurrence of precipitation (Figs.
 561 8c and 8f) are also consistent with the results in Fig. 1. Despite the fact that more
 562 convection occurs in the midday hours over the Amazon, the relationship holds
 563 true for all times of day.

564

565 **7. The sensitivity of plume buoyancy to entrainment under simple freezing** 566 **assumptions**

567 In this section we focus on connecting the observed pickup of precipitation
 568 to observed increases in buoyancy and the sensitivity to entrainment. We
 569 calculate the buoyancy perturbation profiles, the virtual temperature difference
 570 between the environment and the plume ($\Delta T_v = T_{v,plume} - T_{v,env}$) for plumes
 571 rising from the subcloud layer (1000 hPa), with mixing occurring at each pressure
 572 level as described by

$$573 \quad r_k = (1 - x_{k-1})r_{k-1} + X_{k-1}\tilde{r}_{k-1} \quad (1)$$

574 where X is the mixing coefficient, r is a conserved variable (with \tilde{r} its
 575 environmental value), and k denotes pressure level if X varies. Here we calculate
 576 the mixing coefficient proportional to z^{-1} , where z is height, in the layer in which
 577 plume mass flux is growing. This mixing assumption was referred to in Holloway
 578 and Neelin (2009) as Deep Inflow A (DIA) and corresponds to the Siebesma et
 579 al. (2007) LES-based dependence. DIA is chosen here because of its realistic
 580 representation of buoyancy perturbation profiles and overall consistency with the
 581 pickup of precipitation observed in Fig. 1, and is described as

$$582 \quad X_k = c_\epsilon z_k^{-1} \Delta z \quad (2)$$

583 where X_k is the coefficient in (1), Δz is a positive finite difference layer depth, and
 584 $c_\epsilon = 0.4$. Following Holloway and Neelin (2009), a simplified limiting case of
 585 freezing microphysics is also used: all condensate is conserved and freezing is
 586 assumed to take place very rapidly when the parcel reaches the freezing level.

587 The individual perturbation profiles are shown in Figure 9 and have been
 588 conditionally averaged by CWV, with bin spacing as in Fig. 1a. Figure 9a
 589 illustrates the profiles of the radiosondes from all times of day, which exhibits a
 590 distinct layer of CIN between the surface and 800-850 hPa. It is evident that only
 591 the highest CWV bins could be deep convective, but when averaging the profiles
 592 over all times of day it appears that even the profiles belonging to the highest
 593 CWV bins would struggle to become deep convective. Since the afternoon is the
 594 most convective time of day in the Amazon (Machado et al. 2004), we also
 595 separate the profiles by time-of-day to examine key thermodynamic differences
 596 and how stability in the nighttime hours could be contributing to the CIN observed
 597 in Fig. 6a.

598 Figure 9b, which includes nighttime soundings only (05:30, 11:30 and
599 23:30 UTC), shows the large layer of CIN seen in Fig. 9a; this shows that the CIN
600 is unique to the nighttime soundings and implies that the CIN is largely present
601 as a result of radiative cooling. At these times of day, it is unlikely for convection
602 to fire as a result of local instability, which is consistent with the buoyancy profiles
603 in Fig. 9b. In Figure 9c, only profiles from late morning (14:30 UTC) and early
604 afternoon (17:30 UTC) soundings were conditionally averaged by CWV. In
605 contrast to the evening/morning soundings, there is very little CIN. The variability
606 observed in the upper CWV bins is due to the low counts of profiles contributing
607 to the average. Overall, it appears that a variety of CWV values would be
608 conducive to convective activity in the afternoon hours, with CWV bins < 60 mm
609 acting to support shallow convection, whereas only the highest CWV bins act to
610 support deep convection.

611 Some caveats on this analysis should be noted:

612 (1) The plume buoyancies sorted by CWV are considerably smaller in the lower
613 troposphere compared to the tropical western Pacific case for the same
614 computation (Holloway and Neelin 2009, Fig 8c). The onset of deep convection is
615 thus likely dependent upon other factors unique to tropical land cases, in
616 particular the greater variability of the boundary layer. Additionally, there are key
617 thermodynamic differences between the convective environments in the wet and
618 dry seasons in the Amazon and thus likely differing thermodynamic controls on
619 deep convection; i.e. during the wet season, there is less CIN, less CAPE and
620 more moisture available throughout the column, whereas in the dry season there
621 is more CIN, more CAPE and less moisture available in the column (Collow et al.
622 2016).

623 (2) Entrainment assumptions can affect the details of the buoyancy profiles seen
624 in Fig. 9c. In particular, smaller/larger values of the mixing coefficient in the lower
625 troposphere yield larger/smaller buoyancy values. More complex entrainment
626 assumptions would obviously also have impact, e.g., the entrainment rate
627 weakening as convection over land deepens (Del Genio and Wu 2010; Stirling
628 and Stratton 2012), having a parameterized dependence on environmental
629 humidity (Zhang and Klein 2010; Stirling and Stratton 2012) or a dependence on
630 cloud size (Simpson 1971; Grabowski 2006; Khairoutdinov and Randall 2006;
631 Stirling and Stratton 2012). However, the computations here indicate a strong
632 dependence on free tropospheric humidity can be found even with fixed
633 entrainment.

634 (3) Associated with the smaller buoyancy in the lower free troposphere
635 compared to the oceanic case, the role of freezing is more important to
636 occurrences of positive buoyancy in the upper troposphere. If freezing is
637 completely omitted, the jump in buoyancy seen near 550 mb in Fig. 9 does not
638 occur, and profiles in the upper troposphere decrease slightly faster with height,
639 yielding little buoyancy even in the high CWV cases.

640 The discussion of caveats above points to some interesting aspects in
641 which representation of deep convection over tropical land can be expected to be
642 more sensitive than over the ocean. The additional involvement of the boundary
643 layer in setting deep convective instability is no surprise. However, the

644 dependence of the deep convective instability through the upper troposphere on
645 contributions to buoyancy from the freezing process even under highly favorable
646 conditions in terms of free tropospheric water vapor and favorable time of day
647 points to a potentially greater sensitivity to freezing microphysics than over
648 ocean. This will be addressed in further work. Nonetheless, the overall results for
649 the leading order effects of lower free tropospheric water vapor on convection in
650 the Amazon have striking parallels to the oceanic case.

651

652 **8. Conclusions**

653 This study compares and contrasts the relationship between CWV and
654 deep convection in the Amazon to that in the tropical western Pacific using
655 measurements from two neighboring sites at each location: specifically, results
656 from the GOAmazon site in Manacapuru, BR and the GNSS site at INPA in
657 Manaus, BR are compared to results from the DOE ARM sites at Nauru and
658 Manus Island. The relationships evident at all locations are robust, with an
659 increase in conditionally averaged rain rate as a function of CWV. The probability
660 of precipitation often increases beyond 50% in the highest CWV bins. The
661 distribution of CWV is consistent with the distributions observed in microwave
662 retrievals over ocean (Neelin et al. 2009) for both precipitating points and all
663 points, with the distribution for precipitating points peaking just below the critical
664 value at which precipitation increases sharply, and decreasing rapidly over the
665 pickup region. All cases with sufficient data counts are consistent with a longer-
666 than-Gaussian tail extending out to high CWV. Much of the variability in column
667 moisture is due to variability in free tropospheric humidity, suggesting that the
668 onset of deep convection is just as dependent on free tropospheric humidity at
669 tropical land sites as it is over tropical ocean sites.

670 The relationship between CWV and precipitation is generally robust
671 across time-of-day. While there is a smaller fraction of precipitating points of a
672 given CWV in nighttime hours compared to those occurring near midday, the
673 conditionally averaged precipitation exhibits a very comparable pickup that
674 increases beyond a threshold value of CWV. Thus while the probability of
675 nighttime precipitation likely depends on boundary layer factors, CWV remains
676 an important proxy for the effects of lower free tropospheric water vapor on deep
677 convection.

678 Because convection occurs at small time and space scales, spatial and
679 temporal averaging can degrade the statistics describing the transition to deep
680 convection. In daily averages, a highly smoothed version of the behavior may still
681 be seen, but much information about the underlying physics - particularly the
682 sharp onset of conditional instability associated with deep convection - is largely
683 lost. Daily averages are thus suboptimal for examining this behavior and their
684 use for such an analysis is not recommended. Examining these statistics at
685 various averaging intervals closer to the appropriate time scales for convection
686 indicates that the pickup curves are robust over averages from 15 minutes to 3
687 hours. One-hour averages yield results very similar to 15-minute averages, while
688 3-hour averages slightly reduce the sharpness of the pickup. Similarly, using
689 satellite retrievals of precipitation for a region surrounding the GOAmazon site at

690 different spatial resolution yields convective transition statistics that reasonably
691 reflect the in situ observations at 0.25° resolution, but are slightly smoothed for
692 1.25° and 2.5° averages. At 2.5°, the sharpness of the pickup is lost.

693 Examining the temporal and vertical structure, lower tropospheric moisture
694 increases prior to convection and precipitation at the GOAmazon site. This is
695 consistent with findings for the tropical western Pacific ARM sites (Holloway and
696 Neelin 2009). However, for the land case there are clear indications that following
697 the convection, moisture has been lofted, likely as a result of the detrainment of
698 water at various levels during the convective event. After convection, the sub-
699 cloud layer becomes cooler and slightly drier over land. The before and after
700 moisture profiles in this tropical land case thus illustrate the two-way interaction
701 between convection and water vapor, with increases in lower tropospheric water
702 vapor prior to convection consistent with impacts on buoyancy in entraining
703 convection.

704 The latter impacts are tested by computing buoyancy profiles with a
705 previously used profile of turbulent entrainment, which are then conditionally
706 averaged by CWV to assess whether buoyancy through a deep convective layer
707 is comparable to the onset of precipitation as a function of CWV. This is
708 examined for soundings from all times of day, and for nighttime and midday
709 ensembles of profiles separately. For nighttime conditions, the averages at each
710 CWV value indicate significant CIN must be overcome in order to convect,
711 although this is considerably less for the highest CWV values. The nighttime
712 results underscore the presence of pre-existing disturbances or boundary layer
713 conditions not captured by CWV. The midday soundings show buoyancies
714 sufficient for shallow convection over a middle range of CWV. However, only the
715 highest CWV bins would be convective through a deep layer for each case -
716 nighttime, midday and all times - consistent with the pickup of precipitation. Some
717 differences relative to the ocean are worth noting: there are likely greater
718 contributions from the boundary layer to the conditional instability of the
719 environment that cannot be sufficiently explained by variability in CWV, and there
720 is evidence that freezing microphysics exerts greater influence on the
721 development of buoyancy above the freezing level. Nevertheless, the
722 dependence of deep convective onset on free tropospheric humidity is robust
723 and of leading order over both tropical land and tropical ocean.

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Acknowledgements

726 U.S. Department of Energy Atmospheric Radiation Measurement (ARM) Climate
727 Research Facility GOAmazon and Tropical West Pacific field campaign data
728 were essential to this work. This research was supported by the Office of
729 Biological and Environmental Research of the U.S. Department of Energy grant
730 DE-SC0011074, National Science Foundation Grant AGS-1102838, National
731 Oceanic and Atmospheric Administration Grants NA14OAR4310274. We thank
732 C. Holloway for providing analysis routines.

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Appendix

To illustrate the consistency between radiometer CWV and radiosonde CWV, Fig. A1 shows 15-min average radiometer CWV scattered against radiosonde CWV. CWV is thus sampled every 6 hours within the period 10 Jan - 30 Sep 2014. It is evident that there are no systematic biases observed at high values of CWV, which could have resulted from interpolation or measurement inaccuracy. Overall, while our ability to confirm consistency between instruments is limited to the sampling of the radiosondes, it is evident from this sample that the CWV values agree well across instruments.

Figure A2 shows the probability density functions (PDFs) of the five precipitation datasets used throughout this study: AOSMET at the GOAmazon site, ORG at Nauru, ORG at Manus Island, TRMM, and the dataset from INPA in Manaus, Brazil coincident with the GPS CWV measurements. It is evident that the PDFs of the precipitation data from the GOAmazon site, Nauru, and Manus Island are all consistent with one another, whereas the TRMM and INPA datasets are biased low. This contributes to differences in the magnitudes of the pickup curves between those seen in Fig. 4 (TRMM) and Fig. 5 (INPA), in comparison to Figs. 1 and 2. The TRMM data in Fig. 4 require a unique precipitation axis to those of Figs. 1 and 2, since these data have a different spatial footprint than all others used in this study. Fig. 5, on the other hand, adopts an axis that is scaled according to the ratio of 30-minute mean INPA data and 30-minute mean radiometer data. This value (4.68 mm hr^{-1}) is divided by the range used in Figure 1d (6 mm hr^{-1}) to instead yield a range of 1.28 mm hr^{-1} for the axes in Fig. 5.

Figure A3 compares the available precipitation observing systems at the GOAmazon site by scattering the 15-minute average precipitation rates of each system against the chosen data set, AOSMET. Between 01 Jan and 15 Oct 2014, four instruments recorded precipitation: an optical rain gauge (ORG), a present weather detector (PWD), a Vaisala WXT520 from the Aerosol Observing Meteorological Station (AOSMET), and a Vaisala WXT520 from a system including a 3-channel microwave radiometer (MWR3C). Comparison to MWR3C precipitation is not included in this analysis, but the data compare well with the AOSMET precipitation chosen for use in this study (personal communication, ARM Climate Research Facility Data Quality Office).

Figure A3a shows the PWD and ORG datasets scattered against the AOSMET dataset. Two main features are worth noting: (1) the plateau of rain rates in the PWD data (blue), and (2) the erroneous rainfall measured by the ORG (green). The plateau of PWD rain rates indicates that the instrument records a maximum value of $\sim 8\text{-}10 \text{ mm hr}^{-1}$; this leads to the systematic recording of erroneously low rain rates above an unknown threshold. These data could be used to confirm the incidence of rain, but analysis of the rain rate magnitudes using these data is not recommended. The ORG had many operational problems throughout the specified time period and thus often recorded precipitation when it was not raining, as is evident from the scatter on the ordinate. Less evident are all of the erroneous values at low rain rates recorded as a result of instrument malfunction. Eliminating all points $< 0.5 \text{ mm hr}^{-1}$

782 ¹ in the ORG data would likely remedy some issues on the low end, but a
783 threshold would not likely help to eliminate erroneous data on the high end.
784 Therefore, these data must be extensively examined and errors must be
785 corrected for before using these data prior to 15 Oct 2014 when the instrument
786 was repaired (personal communication, ARM Climate Research Facility Data
787 Quality Office).

788 After 15 Oct 2014, five instruments measured precipitation at the
789 GOAmazon site; all data besides that from the MWR3C system are included in
790 Fig. A3b. It is evident that the ORG data are consistent with the AOSMET
791 precipitation after 15 Oct 2014, as are the data from the Parisvel laser
792 disdromeder (PARS) and the tipping bucket rain gauge (RAIN). Overall, however,
793 the AOSMET precipitation data set is the most reliable for use throughout the
794 entire GOAmazon campaign, and is thus chosen for use in this analysis. Prior to
795 15 Oct 2014, use of neither the PWD nor the ORG precipitation data sets is
796 recommended.

797 Although the qualitative convective transition statistics are robust across a
798 broad set of instrumentation, careful consideration must be given to the
799 precipitation observing system for quantitative aspects, as systematic biases and
800 instrument error could affect comparisons to model output.

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Figure Captions

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1104 **Figure 1:** The relationship between precipitation and CWV at the GOAmazon
1105 site in Manacapuru, BR. (a) The 1-hour average precipitation (mm hr^{-1}) centered
1106 at the time of radiosonde launch conditionally averaged on CWV (mm). The
1107 mean of precipitating points greater than 0.1 mm hr^{-1} is 2.72 mm , given by the
1108 black triangle on the y-axis. (b) The fraction of observations per CWV bin with
1109 rain rates greater than 0.5 mm hr^{-1} , for radiosonde CWV. (c) The frequency
1110 density of all points and precipitating points with rain rates greater than 0.5 mm
1111 hr^{-1} , for radiosonde CWV. (d-f) Same as (a-c), except using 15-min average CWV
1112 from the microwave radiometer (MWR). The CWV bins for each set of analysis
1113 are given by their respective color bars. The highest bin for the radiosonde
1114 analysis has a width of 6 mm and a range from 64 mm to 70 mm , differing slightly
1115 from that of the radiometer data.

1116 **Figure 2:** Same as Fig. 1, but for the relationship between precipitation and
1117 radiosonde CWV at Nauru (a-c) and Manus Island (d-f) in the tropical western
1118 Pacific. The mean of precipitating points greater than 0.1 mm hr^{-1} is 2.18 mm hr^{-1}
1119 for Nauru and 2.78 mm hr^{-1} for Manus Island. CWV bins are the same as in Fig.
1120 1d-f (see color bar).

1121 **Figure 3:** Same as Fig. 1d-f, using in-situ precipitation and radiometer CWV from
1122 the GOAmazon site, but with additional averaging intervals: 15-min averages
1123 (blue); 1-hr averages (green); 3-hr averages (yellow); daily averages (red).

1124 **Figure 4:** Same as Fig. 1d-f, but instead using area-averaged TRMM 3B42 3-
1125 hourly instantaneous precipitation at varying resolution from the grid box that
1126 includes the GOAmazon site; CWV values are derived from the 15-min averages
1127 of MWR data surrounding the TRMM snapshot. (a-c) for precipitation at $0.25^\circ \times$
1128 0.25° horizontal resolution (grid box over GOAmazon site); (d-f) spatial average
1129 of precipitation at $1.25^\circ \times 1.25^\circ$ around GOAmazon site; (g-i) same as (d-f) but
1130 for $2.5^\circ \times 2.5^\circ$.

1131 **Figure 5:** Same as Fig. 1a-c, but using in-situ precipitation (30-min averages)
1132 binned by 30-min GPS-retrieved CWV from a site at the INPA in Manaus, BR.
1133 The triangle in (a) denotes the mean of precipitating points $> 0.1 \text{ mm hr}^{-1}$, which
1134 is 1.04 mm hr^{-1} . Note the change in the precipitation axis in comparison to Fig.
1135 1a,d and the change in threshold value used in Fig. 5b,c. The rain gauge at the
1136 INPA is biased low (see Appendix A), and thus to allow for direct comparison to
1137 the GOAmazon case, the range on the precipitation axis defined in Fig. 1a,d ($0 -$
1138 6 mm) is decreased here by a factor of 4.68, the ratio of the means between the
1139 AOSMET gauge and the INPA gauge.

1140 **Figure 6:** Vertical profiles of (a) specific humidity (g kg^{-1}), (b) relative humidity
1141 (%), and (c) equivalent potential temperature (K) measured or derived from
1142 radiosonde data collected at the GOAmazon site and conditionally averaged by
1143 CWV (mm). The mean saturated equivalent potential temperature (Θ_{es}) for
1144 profiles greater than 50 mm is shown in the dashed line in (c).

1145 **Figure 7:** Profiles of specific humidity differences (g kg^{-1}) from radiosonde
1146 measurements at the GOAmazon site between precipitation events (1-hour
1147 average rain rates $> 0.5 \text{ mm hr}^{-1}$) and no precipitation events (1-hour average
1148 rain rates $< 0.01 \text{ mm hr}^{-1}$) for 1.5-3 hours leading precipitation (red), within the

1149 hour of precipitation (black), and 1.5-3 hours lagging precipitation (blue). Results
1150 are shown for Jan-Apr only (2014-2015).

1151 **Figure 8:** Same as Fig. 1d-f, except for (a-c) nighttime hours (8 pm – 7 am), and
1152 (d-f) midday hours (10 am – 4 pm) only.

1153 **Figure 9:** Virtual temperature (T_v) difference between the parcel (computed with
1154 turbulent entrainment) and the environment, binned by CWV. CWV bins are 1.5
1155 mm in width (shown in the colorbar), with the highest bin spanning 64-70 mm and
1156 the lowest bin spanning 30-41.5 mm. Plume buoyancy differences are shown in
1157 (a) for all times of day, (b) for nighttime soundings (19:30, 01:30, 07:30 LST)
1158 soundings only, and (c) for midday (10:30, 13:30 LST) soundings only.

1159 **Figure A1:** The relationship between radiometer CWV and radiosonde CWV for
1160 10 Jan 2014 – 31 Jul 2014. The correlation coefficient (R) is 0.91.

1161 **Figure A2:** (a) PDF of 1-min average precipitation for all five instruments used in
1162 this study. The means of precipitating points ($> 0.1 \text{ mm hr}^{-1}$) are shown on the
1163 precipitation axis and are as follows: 7.7 mm hr^{-1} at Nauru, 9.7 at the GOAmazon
1164 site, 8.7 mm hr^{-1} at Manus Island, 2.5 mm hr^{-1} at INPA in Manaus, and 2.2 mm
1165 hr^{-1} for the TRMM $0.25^\circ \times 0.25^\circ$ box which includes the GOAmazon site.

1166 **Figure A3:** Scatterplots of the precipitation data available from various
1167 instruments at the GOAmazon site – optical rain gauge (ORG), present weather
1168 detector (PWD), Parisvel laser disdromeder (PARS), tipping bucket rain gauge
1169 (RAIN) - in comparison to the AOSMET instrument chosen for this analysis.
1170 Results shown are for the time periods (a) prior to 15 Oct 2014 (ORG and PWD
1171 only) and (b) after 15 Oct 2014, as a limited selection of reliable observations
1172 were available before 15 Oct 2014.

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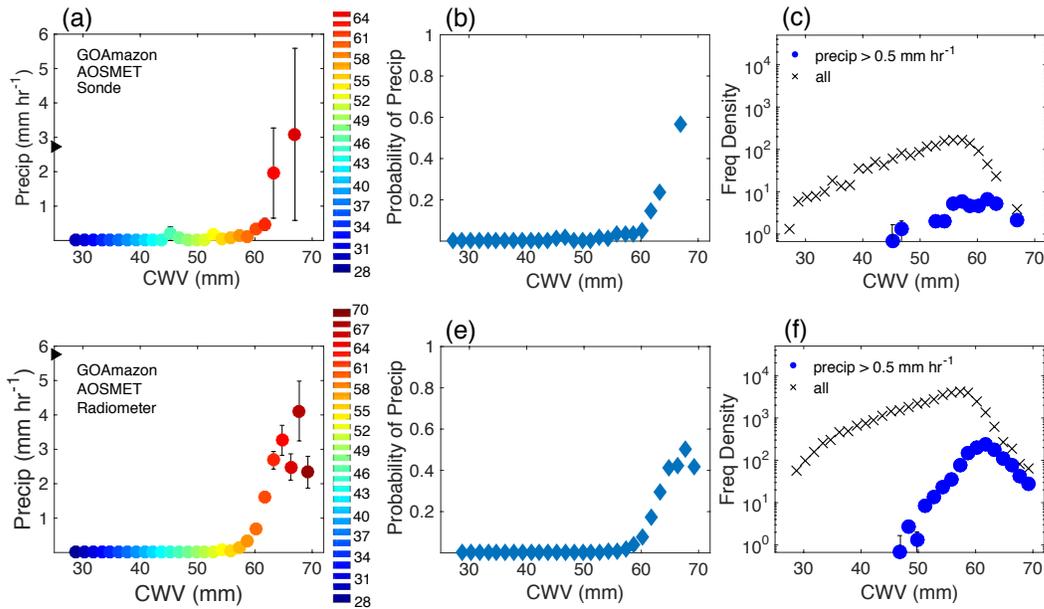


Figure 1: The relationship between precipitation and CWV at the GOAmazon site in Manacapuru, BR. (a) The 1-hour average precipitation (mm hr^{-1}) centered at the time of radiosonde launch conditionally averaged on CWV (mm). The mean of precipitating points greater than 0.1 mm hr^{-1} is 2.72 mm , given by the black triangle on the y-axis. (b) The fraction of observations per CWV bin with rain rates greater than 0.5 mm hr^{-1} , for radiosonde CWV. (c) The frequency density of all points and precipitating points with rain rates greater than 0.5 mm hr^{-1} , for radiosonde CWV. (d-f) Same as (a-c), except using 15-min average CWV from the microwave radiometer (MWR). The CWV bins for each set of analysis are given by their respective color bars. The highest bin for the radiosonde analysis has a width of 6 mm and a range from 64 mm to 70 mm , differing slightly from that of the radiometer data.

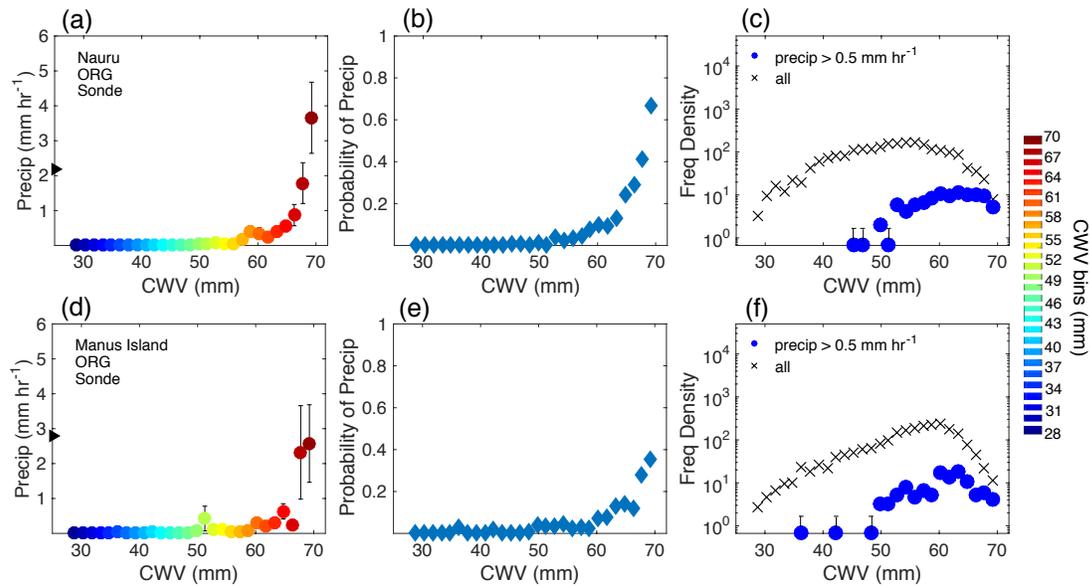


Figure 2: Same as Fig. 1, but for the relationship between precipitation and radiosonde CWV at Nauru (a-c) and Manus Island (d-f) in the tropical western Pacific. The mean of precipitating points greater than 0.1 mm hr^{-1} is 2.18 mm hr^{-1} for Nauru and 2.78 mm hr^{-1} for Manus Island. CWV bins are the same as in Fig. 1d-f (see color bar).

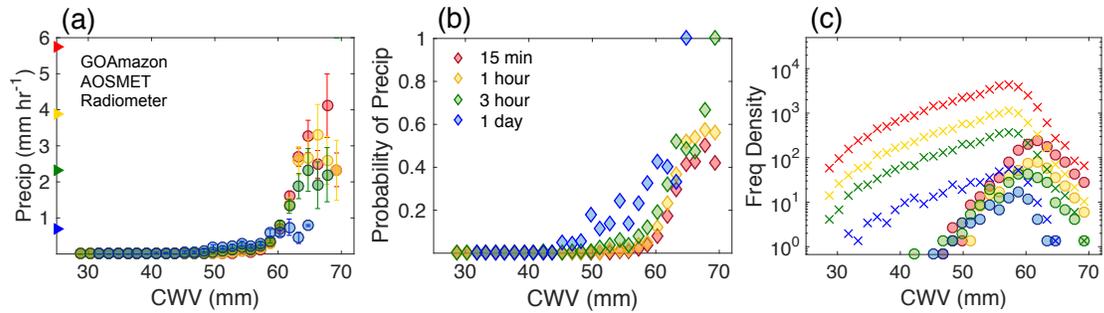


Figure 3: Same as Fig. 1d-f, using in-situ precipitation and radiometer CWV from the GOAmazon site, but with additional averaging intervals: 15-min averages (blue); 1-hr averages (green); 3-hr averages (yellow); daily averages (red).

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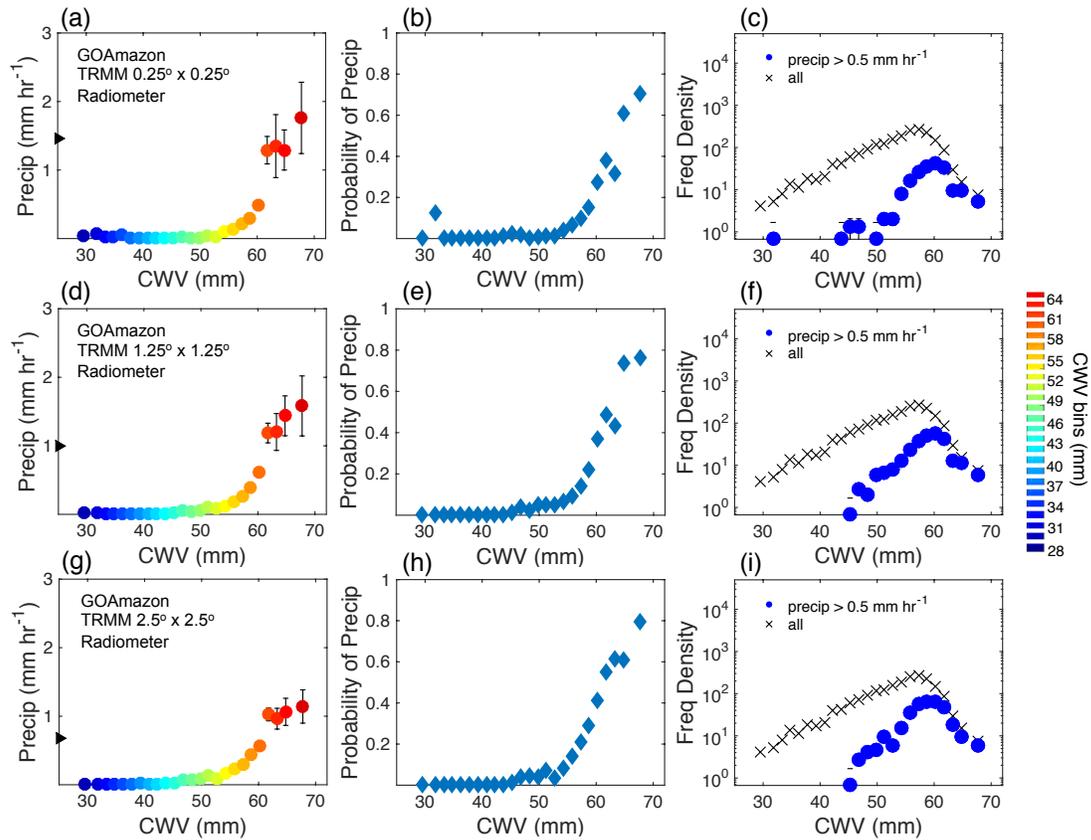


Figure 4: Same as Fig. 1d-f, but instead using area-averaged TRMM 3B42 3-hourly instantaneous precipitation at varying resolution from the grid box that includes the GOAmazon site; CWV values are derived from the 15-min averages of MWR data surrounding the TRMM snapshot. (a-c) for precipitation at $0.25^\circ \times 0.25^\circ$ horizontal resolution (grid box over GOAmazon site); (d-f) spatial average of precipitation at $1.25^\circ \times 1.25^\circ$ around GOAmazon site; (g-i) same as (d-f) but for $2.5^\circ \times 2.5^\circ$.

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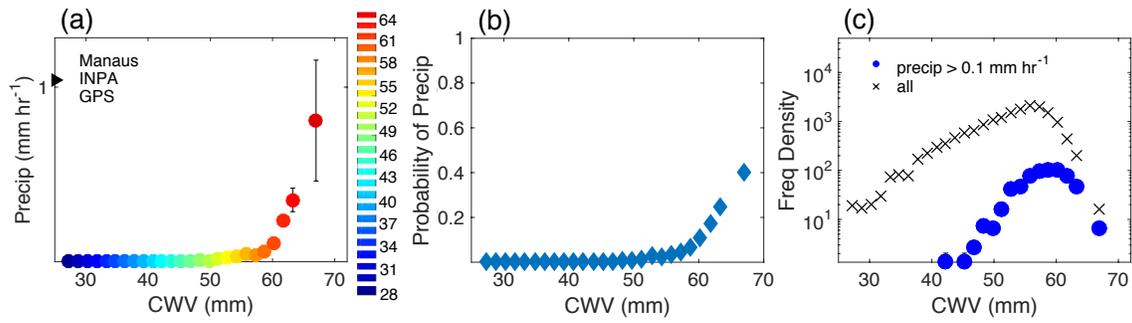


Figure 5: Same as Fig. 1a-c, but using in-situ precipitation (30-min averages) binned by 30-min GPS-retrieved CWV from a site at the INPA in Manaus, BR. The triangle in (a) denotes the mean of precipitating points $> 0.1 \text{ mm hr}^{-1}$, which is 1.04 mm hr^{-1} . Note the change in the precipitation axis in comparison to Fig. 1a,d and the change in threshold value used in Fig. 5b,c. The rain gauge at the INPA is biased low (see Appendix A), and thus to allow for direct comparison to the GOAmazon case, the range on the precipitation axis defined in Fig. 1a,d ($0 - 6 \text{ mm}$) is decreased here by a factor of 4.68, the ratio of the means between the AOSMET gauge and the INPA gauge.

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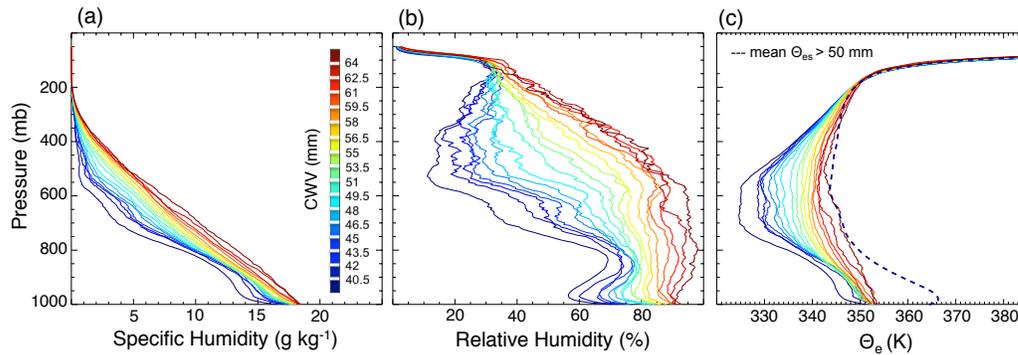


Figure 6: Vertical profiles of (a) specific humidity (g kg^{-1}), (b) relative humidity (%), and (c) equivalent potential temperature (K) measured or derived from radiosonde data collected at the GOAmazon site and conditionally averaged by CWV (mm). The mean saturated equivalent potential temperature (Θ_{es}) for profiles greater than 50 mm is shown in the dashed line in (c).

1201

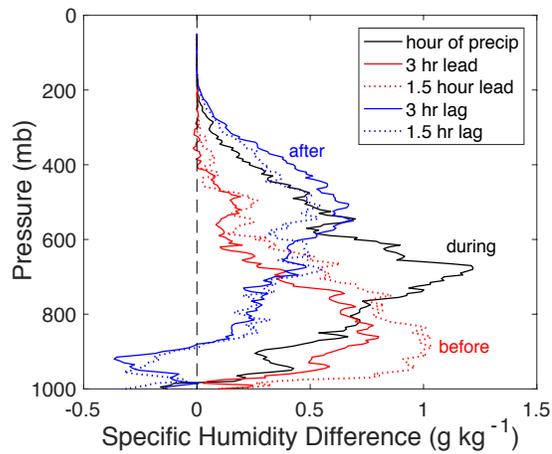


Figure 7: Profiles of specific humidity differences (g kg^{-1}) from radiosonde measurements at the GOAmazon site between precipitation events (1-hour average rain rates $> 0.5 \text{ mm hr}^{-1}$) and no precipitation events (1-hour average rain rates $< 0.01 \text{ mm hr}^{-1}$) for 1.5-3 hours leading precipitation (red), within the hour of precipitation (black), and 1.5-3 hours lagging precipitation (blue). Results are shown for Jan-Apr only (2014-2015).

1202

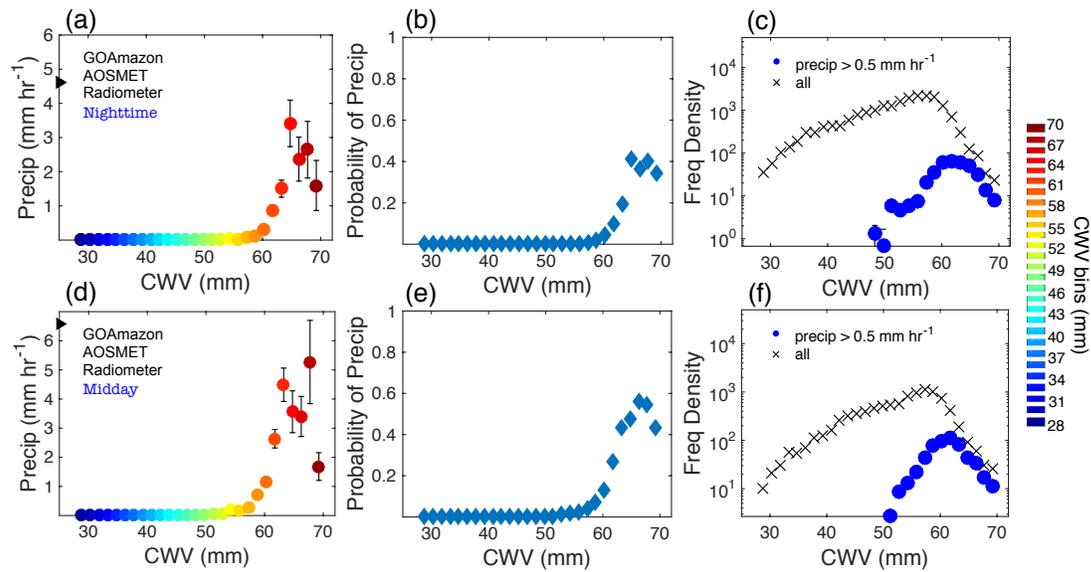


Figure 8: Same as Fig. 1d-f, except for (a-c) nighttime hours (8 pm – 7 am), and (d-f) midday hours (10 am – 4 pm) only.

1203

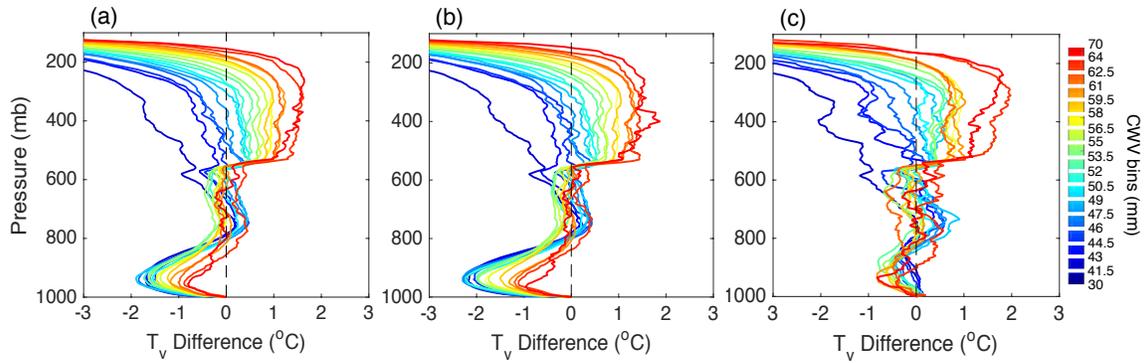


Figure 9: Virtual temperature (T_v) difference between the parcel (computed with turbulent entrainment) and the environment, binned by CWV. CWV bins are 1.5 mm in width (shown in the colorbar), with the highest bin spanning 64-70 mm and the lowest bin spanning 30-41.5 mm. Plume buoyancy differences are shown in (a) for all times of day, (b) for nighttime soundings (19:30, 01:30, 07:30 LST) soundings only, and (c) for midday (10:30, 13:30 LST) soundings only.

1204

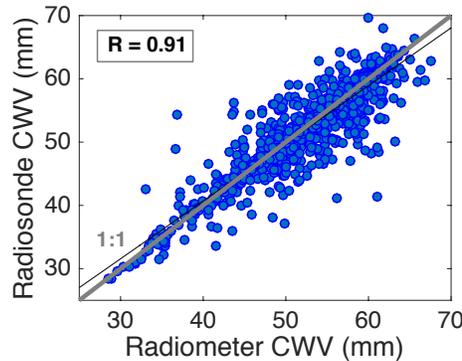


Figure A1: The relationship between radiometer CWV and radiosonde CWV for 10 Jan 2014 – 31 Jul 2014. The correlation coefficient (R) is 0.91.

1205

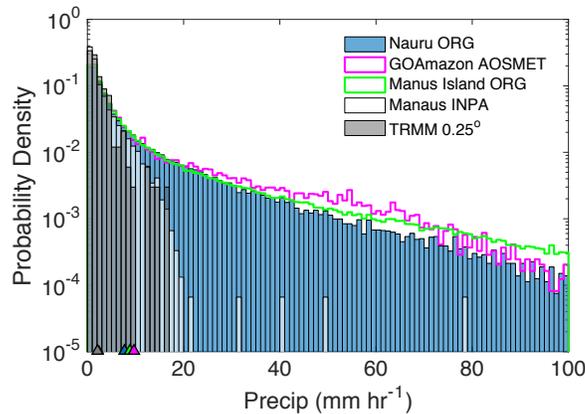


Figure A2: (a) PDF of 1-min average precipitation for all five instruments used in this study. The means of precipitating points ($> 0.1 \text{ mm hr}^{-1}$) are shown on the precipitation axis and are as follows: 7.7 mm hr^{-1} at Nauru, 9.7 at the GOAmazon site, 8.7 mm hr^{-1} at Manus Island, 2.5 mm hr^{-1} at INPA in Manaus, and 2.2 mm hr^{-1} for the TRMM $0.25^\circ \times 0.25^\circ$ box which includes the GOAmazon site.

1206

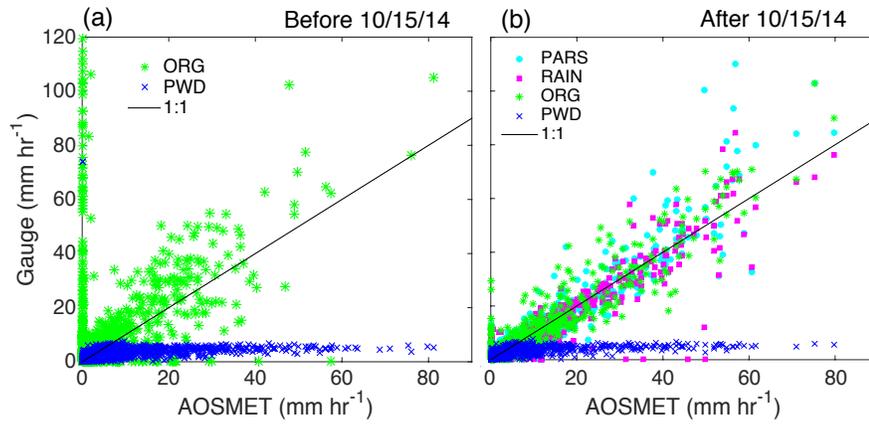


Figure A3: Scatterplots of the precipitation data available from various instruments at the GOAmazon site – optical rain gauge (ORG), present weather detector (PWD), Parisvel laser disdromeder (PARS), tipping bucket rain gauge (RAIN) - in comparison to the AOSMET instrument chosen for this analysis. Results shown are for the time periods (a) prior to 15 Oct 2014 and (b) after 15 Oct 2014, as a limited selection of reliable observations were available before 15 Oct 2014.

1207
1208